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36 Image Formation

The light rays coming from the leaves in the background of this scene did not form a focused image in the camera that took this photograph. Consequently, the background appears very blurry. Light rays passing though the raindrop, however, have been altered so as to form a focused image of the background leaves for the camera. In this chapter, we investigate the formation of images as light rays reflect from mirrors and refract through lenses. *(Don Hammond Photography Ltd. RF)*

This chapter is concerned with the images that result when light rays encounter flat or curved surfaces between two media. Images can be formed by either reflection or refraction due to these surfaces. We can design mirrors and lenses to form images with desired characteristics. In this chapter, we continue to use the ray approximation and assume light travels in straight lines. We first study the formation of images by mirrors and lenses and techniques for locating an image and determining its size. Then we investigate how to combine these elements into several useful optical instruments such as microscopes and telescopes.

36.1 Images Formed by Flat Mirrors

Image formation by mirrors can be understood through the behavior of light rays as described by the wave under reflection analysis model. We begin by considering the simplest possible mirror, the flat mirror. Consider a point source of light placed at *O* in Figure 36.1, a distance *p* in front of a flat mirror. The distance *p* is called the **object distance.** Diverging light rays leave the source and are reflected from the mirror. Upon reflection, the rays continue to diverge. The dashed lines in Figure 36.1 are extensions of the diverging rays back to a point of intersection at *I.* The diverging rays appear to the viewer to originate at the point *I* behind the mirror. Point *I*, which is a distance *q* behind the mirror, is called the **image** of the object at *O.* The distance *q* is called the **image distance.** Regardless of the system under study, images can always be located by extending diverging rays back to a point at which they intersect. Images are located either at a point from which rays of light *actually* diverge or at a point from which they *appear* to diverge.

Images are classified as *real* or *virtual*. A **real image** is formed when all light rays pass through and diverge from the image point; a **virtual image** is formed when most if not all of the light rays do *not* pass through the image point but only appear to diverge from that point. The image formed by the mirror in Figure 36.1 is virtual. No light rays from the object exist behind the mirror, at the location of the image, so the light rays in front of the mirror only seem to be diverging from *I*. The image of an object seen in a flat mirror is *always* virtual. Real images can be displayed on a screen (as at a movie theater), but virtual images cannot be displayed on a screen. We shall see an example of a real image in Section 36.2.

We can use the simple geometry in Figure 36.2 to examine the properties of the images of extended objects formed by flat mirrors. Even though there are an infinite number of choices of direction in which light rays could leave each point on the object (represented by a gray arrow), we need to choose only two rays to determine where an image is formed. One of those rays starts at *P*, follows a path perpendicular to the mirror to *Q* , and reflects back on itself. The second ray follows the oblique path *PR* and reflects as shown in Figure 36.2 according to the law of reflection. An observer in front of the mirror would extend the two reflected rays back to the point at which they appear to have originated, which is point P' behind the mirror. A continuation of this process for points other than *P* on the object would result in a virtual image (represented by a pink arrow) of the entire object behind the mirror. Because triangles *PQR* and *P'QR* are congruent, $PQ = P'Q$, so $|p| = |q|$. Therefore, the image formed of an object placed in front of a flat mirror is as far behind the mirror as the object is in front of the mirror.

The geometry in Figure 36.2 also reveals that the object height *h* equals the image height *h'*. Let us define **lateral magnification** *M* of an image as follows:

$$
M = \frac{\text{image height}}{\text{object height}} = \frac{h'}{h}
$$
 (36.1)

This general definition of the lateral magnification for an image from any type of mirror is also valid for images formed by lenses, which we study in Section 36.4. For a flat mirror, $M = +1$ for any image because $h' = h$. The positive value of the magnification signifies that the image is upright. (By upright we mean that if the object arrow points upward as in Figure 36.2, so does the image arrow.)

A flat mirror produces an image that has an *apparent* left–right reversal. You can see this reversal by standing in front of a mirror and raising your right hand as shown in Figure 36.3. The image you see raises its left hand. Likewise, your hair appears to be parted on the side opposite your real part, and a mole on your right cheek appears to be on your left cheek.

This reversal is not *actually* a left–right reversal. Imagine, for example, lying on your left side on the floor with your body parallel to the mirror surface. Now your head is on the left and your feet are on the right. If you shake your feet, the image does not shake its head! If you raise your right hand, however, the image again raises its left hand. Therefore, the mirror again appears to produce a left–right reversal but in the up–down direction!

The reversal is actually a *front–back reversal,* caused by the light rays going forward toward the mirror and then reflecting back from it. An interesting

Figure 36.1 An image formed by reflection from a flat mirror.

Figure 36.2 A geometric construction that is used to locate the image of an object placed in front of a flat mirror.

The thumb is on the left side of both real hands and on the left side of the image. That the thumb is not on the right side of the image indicates that there is no left-to-right reversal.

Figure 36.3 The image in the mirror of a person's right hand is reversed front to back, which makes the right hand appear to be a left hand.

exercise is to stand in front of a mirror while holding an overhead transparency in front of you so that you can read the writing on the transparency. You will also be able to read the writing on the image of the transparency. You may have had a similar experience if you have attached a transparent decal with words on it to the rear window of your car. If the decal can be read from outside the car, you can also read it when looking into your rearview mirror from inside the car.

Q uick Quiz 36.1 You are standing approximately 2 m away from a mirror. The

- mirror has water spots on its surface. True or False: It is possible for you to see
- \bullet the water spots and your image both in focus at the same time.

Conceptual Example 36.1 Multiple Images Formed by Two Mirrors

Two flat mirrors are perpendicular to each other as in Figure 36.4, and an object is placed at point *O.* In this situation, multiple images are formed. Locate the positions of these images.

Solu t ion

The image of the object is at I_1 in mirror 1 (green rays) and at I_2 in mirror 2 (red rays). In addition, a third image is formed at I_3 (blue rays). This third image is the image of I_1 in mirror 2 or, equivalently, the image of I_2 in mirror 1. That is, the image at I_1 (or I_2) serves as the object for I_3 . To form this image at I_3 , the rays reflect twice after leaving the object at *O.*

Figure 36.4 (Conceptual Example 36.1) When an object is placed in front of two mutually perpendicular mirrors as shown, three images are formed. Follow the different-colored light rays to understand the formation of each image.

Conceptual Example 36.2 The Tilting Rearview Mirror

Most rearview mirrors in cars have a day setting and a night setting. The night setting greatly diminishes the intensity of the image so that lights from trailing vehicles do not temporarily blind the driver. How does such a mirror work?

Solu t ion

Figure 36.5 shows a cross-sectional view of a rearview mirror for each setting. The unit consists of a reflective coating on the back of a wedge of glass. In the day setting (Fig. 36.5a), the light from an object behind the car strikes the glass wedge at point 1. Most of the light enters the wedge, refracting as it crosses the front surface, and reflects from the back surface to return to the front surface, where it is refracted again as it re-enters the air as ray *B* (for *bright*). In addition, a small portion of the light is reflected at the front surface of the glass as indicated by ray *D* (for *dim*).

Figure 36.5 (Conceptual Example 36.2) Cross-sectional views of a rearview mirror.

This dim reflected light is responsible for the image observed when the mirror is in the night setting (Fig. 36.5b). In that case, the wedge is rotated so that the path followed by the bright light (ray *B*) does not lead to the eye. Instead, the dim light reflected from the front surface of the wedge travels to the eye, and the brightness of trailing headlights does not become a hazard.

Figure 36.6 (a) A concave mirror of radius *R.* The center of curvature *C* is located on the principal axis. (b) A point object placed at *O* in front of a concave spherical mirror of radius *R*, where *O* is any point on the principal axis farther than *R* from the mirror surface, forms a real image at *I.*

36.2 Images Formed by Spherical Mirrors

In the preceding section, we considered images formed by flat mirrors. Now we study images formed by curved mirrors. Although a variety of curvatures are possible, we will restrict our investigation to spherical mirrors. As its name implies, a **spherical mirror** has the shape of a section of a sphere.

Concave Mirrors

We first consider reflection of light from the inner, concave surface of a spherical mirror as shown in Figure 36.6. This type of reflecting surface is called a **concave mirror.** Figure 36.6a shows that the mirror has a radius of curvature *R*, and its center of curvature is point *C.* Point *V* is the center of the spherical section, and a line through *C* and *V* is called the **principal axis** of the mirror. Figure 36.6a shows a cross section of a spherical mirror, with its surface represented by the solid, curved dark blue line. (The lighter blue band represents the structural support for the mirrored surface, such as a curved piece of glass on which a silvered reflecting surface is deposited.) This type of mirror focuses incoming parallel rays to a point as demonstrated by the yellow light rays in Figure 36.7.

Now consider a point source of light placed at point *O* in Figure 36.6b, where *O* is any point on the principal axis to the left of *C.* Two diverging light rays that originate at *O* are shown. After reflecting from the mirror, these rays converge and cross at the image point *I.* They then continue to diverge from *I* as if an object were there. As a result, the image at point *I* is real.

In this section, we shall consider only rays that diverge from the object and make a small angle with the principal axis. Such rays are called **paraxial rays.** All paraxial rays reflect through the image point as shown in Figure 36.6b. Rays that are far from the principal axis such as those shown in Figure 36.8 converge to other points on the principal axis, producing a blurred image. This effect, called *spherical aberration,* is present to some extent for any spherical mirror and is discussed in Section 36.5.

If the object distance *p* and radius of curvature *R* are known, we can use Figure 36.9 (page 1094) to calculate the image distance *q.* By convention, these distances are measured from point *V.* Figure 36.9 shows two rays leaving the tip of the object. The red ray passes through the center of curvature *C* of the mirror, hitting the mirror perpendicular to the mirror surface and reflecting back on itself. The blue ray strikes the mirror at its center (point *V*) and reflects as shown, obeying the law of reflection. The image of the tip of the arrow is located at the point where these two rays intersect. From the large, red right triangle in Figure 36.9, we see that tan $\theta = h/p$, and from the yellow right triangle, we see that tan $\theta = -h/q$. The

Figure 36.7 Reflection of parallel rays from a concave mirror.

Figure 36.8 A spherical concave mirror exhibits spherical aberration when light rays make large angles with the principal axis.

Pitfall Prevention 36.1

Magnification Does Not Necessarily Imply Enlargement For optical elements other than flat mirrors, the magnification defined in Equation 36.2 can result in a number with a magnitude larger *or* smaller than 1. Therefore, despite the cultural usage of the word *magnification* to mean *enlargement,* the image could be smaller than the object.

A satellite-dish antenna is a concave reflector for television signals from a satellite in orbit around the Earth. Because the satellite is so far away, the signals are carried by microwaves that are parallel when they arrive at the dish. These waves reflect from the dish and are focused on the receiver.

Mirror equation in terms of radius of curvature

Figure 36.9 The image formed by a spherical concave mirror when the object *O* lies outside the center of curvature *C*. This geometric construction is used to derive Equation 36.4.

negative sign is introduced because the image is inverted, so h' is taken to be negative. Therefore, from Equation 36.1 and these results, we find that the magnification of the image is

$$
M = \frac{h'}{h} = -\frac{q}{p} \tag{36.2}
$$

Also notice from the green right triangle in Figure 36.9 and the smaller red right triangle that

$$
\tan \alpha = \frac{-h'}{R - q} \quad \text{and} \quad \tan \alpha = \frac{h}{p - R}
$$

from which it follows that

$$
\frac{h'}{h} = -\frac{R-q}{p-R}
$$
\n(36.3)

Comparing Equations 36.2 and 36.3 gives

$$
\frac{R-q}{p-R}=\frac{q}{p}
$$

Simple algebra reduces this expression to

1 $\frac{1}{p} + \frac{1}{q} = \frac{2}{R}$ $\frac{1}{R}$ (36.4)

which is called the *mirror equation.* We present a modified version of this equation shortly.

If the object is very far from the mirror—that is, if p is so much greater than R that *p* can be said to approach infinity—then $1/p \approx 0$, and Equation 36.4 shows that $q \approx R/2$. That is, when the object is very far from the mirror, the image point is halfway between the center of curvature and the center point on the mirror as shown in Figure 36.10. The incoming rays from the object are essentially parallel in this figure because the source is assumed to be very far from the mirror. The image point in this special case is called the **focal point** *F*, and the image distance is called the **focal length** *f*, where

Focal length

$$
f = \frac{R}{2} \tag{36.5}
$$

The focal point is a distance *f* from the mirror, as noted in Figure 36.10. In Figure 36.7, the beams are traveling parallel to the principal axis and the mirror reflects all beams to the focal point.

Figure 36.10 Light rays from a distant object ($p \rightarrow \infty$) reflect from a concave mirror through the focal point *F.*

Pitfall Prevention 36.2

The Focal Point Is Not the Focus Point The focal point *is usually not* the point at which the light rays focus to form an image. The focal point is determined solely by the curvature of the mirror; it does not depend on the location of the object. In general, an image forms at a point different from the focal point of a mirror (or a lens), as in Figure 36.9. The *only* exception is when the object is located infinitely far away from the mirror.

Because the focal length is a parameter particular to a given mirror, it can be used to compare one mirror with another. Combining Equations 36.4 and 36.5, the **mirror equation** can be expressed in terms of the focal length:

$$
\frac{1}{p} + \frac{1}{q} = \frac{1}{f}
$$
 (36.6)

Notice that the focal length of a mirror depends only on the curvature of the mirror and not on the material from which the mirror is made because the formation of the image results from rays reflected from the surface of the material. The situation is different for lenses; in that case, the light actually passes through the material and the focal length depends on the type of material from which the lens is made. (See Section 36.4.)

Convex Mirrors

Figure 36.11 shows the formation of an image by a **convex mirror,** that is, one silvered so that light is reflected from the outer, convex surface. It is sometimes called a **diverging mirror** because the rays from any point on an object diverge after reflection as though they were coming from some point behind the mirror. The image in Figure 36.11 is virtual because the reflected rays only appear to originate at the image point as indicated by the dashed lines. Furthermore, the image is always upright and smaller than the object. This type of mirror is often used in stores to foil shoplifters. A single mirror can be used to survey a large field of view because it forms a smaller image of the interior of the store.

We do not derive any equations for convex spherical mirrors because Equations 36.2, 36.4, and 36.6 can be used for either concave or convex mirrors if we adhere to a strict sign convention. We will refer to the region in which light rays originate and move toward the mirror as the *front side* of the mirror and the other side as the

Mirror equation in terms of focal length

Pitfall Prevention 36.3

Watch Your Signs Success in working mirror problems (as well as problems involving refracting surfaces and thin lenses) is largely determined by proper sign choices when substituting into the equations. The best way to success is to work a multitude of problems on your own.

Figure 36.12 Signs of *p* and *q* for all types of mirrors.

Pitfall Prevention 36.4

Choose a Small Number of Rays A *huge* number of light rays leave each point on an object (and pass through each point on an image). In a ray diagram, which displays the characteristics of the image, we choose only a few rays that follow simply stated rules. Locating the image by calculation complements the diagram.

back side. For example, in Figures 36.9 and 36.11, the side to the left of the mirrors is the front side and the side to the right of the mirrors is the back side. Figure 36.12 states the sign conventions for object and image distances for any type of mirror, and Table 36.1 summarizes the sign conventions for all quantities. One entry in the table, a *virtual object,* is formally introduced in Section 36.4.

Ray Diagrams for Mirrors

The positions and sizes of images formed by mirrors can be conveniently determined with *ray diagrams.* These pictorial representations reveal the nature of the image and can be used to check results calculated from the mathematical representation using the mirror and magnification equations. To draw a ray diagram, you must know the position of the object and the locations of the mirror's focal point and center of curvature. You then draw three rays to locate the image as shown by the examples in Figure 36.13. These rays all start from the same object point and are drawn as follows. You may choose any point on the object; here, let's choose the top of the object for simplicity. For concave mirrors (see Figs. 36.13a and 36.13b), draw the following three rays:

- Ray 1 is drawn from the top of the object parallel to the principal axis and is reflected through the focal point *F.*
- Ray 2 is drawn from the top of the object through the focal point (or as if coming from the focal point if $p < f$ and is reflected parallel to the principal axis.
- • Ray 3 is drawn from the top of the object through the center of curvature *C* (or as if coming from the center *C* if $p < 2f$) and is reflected back on itself.

The intersection of any two of these rays locates the image. The third ray serves as a check of the construction. The image point obtained in this fashion must always agree with the value of *q* calculated from the mirror equation. With concave mirrors, notice what happens as the object is moved closer to the mirror. The real, inverted image in Figure 36.13a moves to the left and becomes larger as the object approaches the focal point. When the object is at the focal point, the image is infinitely far to the left. When the object lies between the focal point and the mirror surface as shown in Figure 36.13b, however, the image is to the right, behind the object, and virtual, upright, and enlarged. This latter situation applies when you use a shaving mirror or a makeup mirror, both of which are concave. Your face is closer to the mirror than the focal point, and you see an upright, enlarged image of your face.

For convex mirrors (see Fig. 36.13c), draw the following three rays:

• Ray 1 is drawn from the top of the object parallel to the principal axis and is reflected *away from* the focal point *F.*

• Ray 2 is drawn from the top of the object toward the focal point on the back side of the mirror and is reflected parallel to the principal axis.

• Ray 3 is drawn from the top of the object toward the center of curvature *C* on the back side of the mirror and is reflected back on itself.

Figure 36.13 Ray diagrams for spherical mirrors along with corresponding photographs of the images of bottles.

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Figure 36.14 (Quick Quiz 36.3) **i** image is virtual? What type of mirror is shown here?

In a convex mirror, the image of an object is always virtual, upright, and reduced in size as shown in Figure 36.13c. In this case, as the object distance decreases, the virtual image increases in size and moves away from the focal point toward the mirror as the object approaches the mirror. You should construct other diagrams to verify how image position varies with object position.

Q uick Quiz 36.2 You wish to start a fire by reflecting sunlight from a mirror onto some paper under a pile of wood. Which would be the best choice for the type of mirror? **(a)** flat **(b)** concave **(c)** convex

Q uick Quiz 36.3 Consider the image in the mirror in Figure 36.14. Based on the appearance of this image, would you conclude that **(a)** the mirror is concave and the image is real, **(b)** the mirror is concave and the image is virtual, **(c)** the mirror is convex and the image is real, or **(d)** the mirror is convex and the

Example 36.3 The Image Formed by a Concave Mirror

A spherical mirror has a focal length of $+10.0$ cm.

(A) Locate and describe the image for an object distance of 25.0 cm.

Solu t ion

Conceptualize Because the focal length of the mirror is positive, it is a concave mirror (see Table 36.1). We expect the possibilities of both real and virtual images.

Categorize Because the object distance in this part of the problem is larger than the focal length, we expect the image to be real. This situation is analogous to that in Figure 36.13a.

Finalize The absolute value of *M* is less than unity, so the image is smaller than the object, and the negative sign for *M* tells us that the image is inverted. Because *q* is positive, the image is located on the front side of the mirror and is real. Look into the bowl of a shiny spoon or stand far away from a shaving mirror to see this image.

(B) Locate and describe the image for an object distance of 10.0 cm.

Solu t ion

Categorize Because the object is at the focal point, we expect the image to be infinitely far away.

▸ **36.3** continued

Finalize This result means that rays originating from an object positioned at the focal point of a mirror are reflected so that the image is formed at an infinite distance from the mirror; that is, the rays travel parallel to one another after reflection. Such is the situation in a flashlight or an automobile headlight, where the bulb filament is placed at the focal point of a reflector, producing a parallel beam of light.

(C) Locate and describe the image for an object distance of 5.00 cm.

Solution

Categorize Because the object distance is smaller than the focal length, we expect the image to be virtual. This situation is analogous to that in Figure 36.13b.

Analyze Find the image distance by using Equation 36.6: ¹

$$
\frac{1}{q} = \frac{1}{f} - \frac{1}{p}
$$

$$
\frac{1}{q} = \frac{1}{10.0 \text{ cm}} - \frac{1}{5.00 \text{ cm}}
$$

$$
q = -10.0 \text{ cm}
$$

$$
q = (-10.0 \text{ cm})
$$

Find the magnification of the image from Equation 36.2: $M = -\frac{q}{p} = -\left(\frac{-10.0 \text{ cm}}{5.00 \text{ cm}}\right) = +2.00$

Finalize The image is twice as large as the object, and the positive sign for *M* indicates that the image is upright (see Fig. 36.13b). The negative value of the image distance tells us that the image is virtual, as expected. Put your face close to a shaving mirror to see this type of image.

Suppose you set up the bottle and mirror apparatus illustrated in Figure 36.13a and described here in **What I f?** part (A). While adjusting the apparatus, you accidentally bump the bottle and it begins to slide toward the mirror at speed v_p . How fast does the image of the bottle move?

Answer Solve the mirror equation, Equation 36.6, for q :

Differentiate this equation with respect to time to find the velocity of the image:

Substitute numerical values from part (A) : v_q

$$
q = \frac{fp}{p - f}
$$

(1) $v_q = \frac{dq}{dt} = \frac{d}{dt} \left(\frac{fp}{p - f} \right) = -\frac{f^2}{(p - f)^2} \frac{dp}{dt} = -\frac{f^2 v_p}{(p - f)^2}$
 $(10.0 \text{ cm})^2 v_p$

$$
v_{l} = -\frac{(10.0 \text{ cm})^2 v_{p}}{(25.0 \text{ cm} - 10.0 \text{ cm})^2} = -0.444 v_{p}
$$

Therefore, the speed of the image is less than that of the object in this case.

We can see two interesting behaviors of the function for v_q in Equation (1). First, the velocity is negative regardless of the value of *p* or *f.* Therefore, if the object moves toward the mirror, the image moves toward the left in Figure 36.13 without regard for the side of the focal point at which the object is located or whether the mirror is concave or convex. Second, in the limit of $p \to 0$, the velocity v_q approaches $-v_p$. As the object moves very close to the mirror, the mirror looks like a plane mirror, the image is as far behind the mirror as the object is in front, and both the object and the image move with the same speed.

Example 36.4 The Image Formed by a Convex Mirror

An automobile rearview mirror as shown in Figure 36.15 (page 1100) shows an image of a truck located 10.0 m from the mirror. The focal length of the mirror is -0.60 m.

(A) Find the position of the image of the truck. *continued*

▸ **36.4** continued

Solu t ion

Conceptualize This situation is depicted in Figure 36.13c.

Categorize Because the mirror is convex, we expect it to form an upright, reduced, virtual image for any object position.

Figure 36.15 (Example 36.4) An approaching truck is seen in a convex mirror on the right side of an automobile. Notice that the image of the truck is in focus, but the frame of the mirror is not, which demonstrates that the image is not at the same location as the mirror surface.

Analyze Find the image distance by using Equation 36.6:

 $\frac{1}{q} = \frac{1}{f} - \frac{1}{p}$ $\frac{1}{q} = \frac{1}{-0.60 \text{ m}} - \frac{1}{10.0 \text{ m}}$ $q = -0.57$ m

(B) Find the magnification of the image.

SOLUTION

Analyze Use Equation 36.2:

$$
M = -\frac{q}{p} = -\left(\frac{-0.57 \text{ m}}{10.0 \text{ m}}\right) = +0.057
$$

Finalize The negative value of *q* in part (A) indicates that the image is virtual, or behind the mirror, as shown in Figure 36.13c. The magnification in part (B) indicates that the image is much smaller than the truck and is upright because *M* is positive. The image is reduced in size, so the truck appears to be farther away than it actually is. Because of the image's small size, these mirrors carry the inscription, "Objects in this mirror are closer than they appear." Look into your rearview mirror or the back side of a shiny spoon to see an image of this type.

Rays making small angles with the principal axis diverge from a point object at *O* and are refracted through the image point *I*.

Figure 36.16 An image formed by refraction at a spherical surface.

36.3 Images Formed by Refraction

In this section, we describe how images are formed when light rays follow the wave under refraction model at the boundary between two transparent materials. Consider two transparent media having indices of refraction n_1 and n_2 , where the boundary between the two media is a spherical surface of radius *R* (Fig. 36.16). We assume the object at *O* is in the medium for which the index of refraction is n_1 . Let's consider the paraxial rays leaving *O.* As we shall see, all such rays are refracted at the spherical surface and focus at a single point *I*, the image point.

Figure 36.17 shows a single ray leaving point *O* and refracting to point *I.* Snell's law of refraction applied to this ray gives

$$
n_1 \sin \theta_1 = n_2 \sin \theta_2
$$

Because θ_1 and θ_2 are assumed to be small, we can use the small-angle approximation sin $\theta \approx \theta$ (with angles in radians) and write Snell's law as

$$
n_1\theta_1 = n_2\theta_2
$$

We know that an exterior angle of any triangle equals the sum of the two opposite interior angles, so applying this rule to triangles *OPC* and *PIC* in Figure 36.17 gives

> $\theta_1 = \alpha + \beta$ $\beta = \theta_2 + \gamma$

Combining all three expressions and eliminating θ_1 and θ_2 gives

$$
n_1\alpha + n_2\gamma = (n_2 - n_1)\beta \tag{36.7}
$$

Figure 36.17 shows three right triangles that have a common vertical leg of length *d.* For paraxial rays (unlike the relatively large-angle ray shown in Fig. 36.17), the horizontal legs of these triangles are approximately ϕ for the triangle containing angle α , *R* for the triangle containing angle β , and *q* for the triangle containing angle γ . In the small-angle approximation, tan $\theta \approx \theta$, so we can write the approximate relationships from these triangles as follows:

$$
\tan \alpha \approx \alpha \approx \frac{d}{p} \quad \tan \beta \approx \beta \approx \frac{d}{R} \quad \tan \gamma \approx \gamma \approx \frac{d}{q}
$$

Substituting these expressions into Equation 36.7 and dividing through by *d* gives

$$
\frac{n_1}{p} + \frac{n_2}{q} = \frac{n_2 - n_1}{R}
$$
 (36.8)

For a fixed object distance *p*, the image distance *q* is independent of the angle the ray makes with the axis. This result tells us that all paraxial rays focus at the same point *I.*

As with mirrors, we must use a sign convention to apply Equation 36.8 to a variety of cases. We define the side of the surface in which light rays originate as the front side. The other side is called the back side. In contrast with mirrors, where real images are formed in front of the reflecting surface, real images are formed by refraction of light rays to the back of the surface. Because of the difference in location of real images, the refraction sign conventions for *q* and *R* are opposite the reflection sign conventions. For example, *q* and *R* are both positive in Figure 36.17. The sign conventions for spherical refracting surfaces are summarized in Table 36.2.

We derived Equation 36.8 from an assumption that $n_1 < n_2$ in Figure 36.17. This assumption is not necessary, however. Equation 36.8 is valid regardless of which index of refraction is greater.

K Relation between object and **image distance for a refracting surface**

The image is virtual and on the same side of the surface as the object.

p q O $n_1 > n_2$ $\overline{n_1}$ n_2

Figure 36.18 The image formed by a flat refracting surface. All rays are assumed to be paraxial.

Flat Refracting Surfaces

If a refracting surface is flat, then *R* is infinite and Equation 36.8 reduces to

$$
\frac{n_1}{p} = -\frac{n_2}{q}
$$

$$
q = -\frac{n_2}{n_1}p
$$
 (36.9)

From this expression, we see that the sign of q is opposite that of p . Therefore, according to Table 36.2, the image formed by a flat refracting surface is on the same side of the surface as the object as illustrated in Figure 36.18 for the situation in which the object is in the medium of index n_1 and n_1 is greater than n_2 . In this case, a virtual image is formed between the object and the surface. If n_1 is less than $n₂$, the rays on the back side diverge from one another at smaller angles than those in Figure 36.18. As a result, the virtual image is formed to the left of the object.

Q uick Quiz 36.4 In Figure 36.16, what happens to the image point *I* as the object point *O* is moved to the right from very far away to very close to the refracting surface? **(a)** It is always to the right of the surface. **(b)** It is always to the left of the surface. **(c)** It starts off to the left, and at some position of *O*, *I* moves to the right of the surface. **(d)** It starts off to the right, and at some position of *O*, *I* moves to the left of the surface.

Q uick Quiz 36.5 In Figure 36.18, what happens to the image point *I* as the object point *O* moves toward the right-hand surface of the material of index of refraction *n*1? **(a)** It always remains between *O* and the surface, arriving at the surface just as *O* does. **(b)** It moves toward the surface more slowly than *O* so that eventually *O* passes *I.* **(c)** It approaches the surface and then moves to the right of the surface.

Conceptual Example 36.5 Let's Go Scuba Diving!

Objects viewed under water with the naked eye appear blurred and out of focus. A scuba diver using a mask, however, has a clear view of underwater objects. Explain how that works, using the information that the indices of refraction of the cornea, water, and air are 1.376, 1.333, and 1.000 29, respectively.

Solu t ion

Because the cornea and water have almost identical indices of refraction, very little refraction occurs when a person under water views objects with the naked eye. In this case, light rays from an object focus behind the retina, resulting in a blurred image. When a mask is used, however, the air space between the eye and the mask surface provides the normal amount of refraction at the eye–air interface; consequently, the light from the object focuses on the retina.

Example 36.6 Gaze into the Crystal Ball

A set of coins is embedded in a spherical plastic paperweight having a radius of 3.0 cm. The index of refraction of the plastic is $n_1 = 1.50$. One coin is located 2.0 cm from the edge of the sphere (Fig. 36.19). Find the position of the image of the coin.

Figure 36.19 (Example 36.6) Light rays from a coin embedded in a plastic sphere form a virtual image between the surface of the object and the sphere surface. Because the object is inside the sphere, the front of the refracting surface is the *interior* of the sphere.

Solu t ion

Conceptualize Because $n_1 > n_2$, where $n_2 = 1.00$ is the index of refraction for air, the rays originating from the coin in Figure 36.19 are refracted away from the normal at the surface and diverge outward. Extending the outgoing rays backward shows an image point within the sphere.

▸ **36.6** continued

Categorize Because the light rays originate in one material and then pass through a curved surface into another material, this example involves an image formed by refraction.

Finalize The negative sign for *q* indicates that the image is in front of the surface; in other words, it is in the same medium as the object as shown in Figure 36.19. Therefore, the image must be virtual. (See Table 36.2.) The coin appears to be closer to the paperweight surface than it actually is.

Example 36.7 The One That Got Away

A small fish is swimming at a depth *d* below the surface of a pond (Fig. 36.20).

(A) What is the apparent depth of the fish as viewed from directly overhead?

Solu t ion

Conceptualize Because $n_1 > n_2$, where $n_2 = 1.00$ is the index of refraction for air, the rays originating from the fish in Figure 36.20a are refracted away from the normal at the surface and diverge outward. Extending the outgoing rays backward shows an image point under the water.

Figure 36.20 (Example 36.7) (a) The apparent depth *q* of the fish is less than the true depth *d.* All rays are assumed to be paraxial. (b) Your face appears to the fish to be higher above the surface than it is.

Categorize Because the refracting surface is flat, *R* is infinite. Hence, we can use Equation 36.9 to determine the location of the image with $p = d$.

Analyze Use the indices of refraction given in Figure 36.20a in Equation 36.9:

 $q = -\frac{n_2}{n_1}p = -\frac{1.00}{1.33}d = -0.752d$

Finalize Because *q* is negative, the image is virtual as indicated by the dashed lines in Figure 36.20a. The apparent depth is approximately three-fourths the actual depth.

(B) If your face is a distance *d* above the water surface, at what apparent distance above the surface does the fish see your face?

Solu t ion

Conceptualize The light rays from your face are shown in Figure 36.20b. Because the rays refract toward the normal, your face appears higher above the surface than it actually is.

Categorize Because the refracting surface is flat, *R* is infinite. Hence, we can use Equation 36.9 to determine the location of the image with $p = d$.

Analyze Use Equation 36.9 to find the image distance:

Finalize The negative sign for *q* indicates that the image is in the medium from which the light originated, which is the air above the water.

▸ **36.7** continued

What if you look more carefully at the fish and measure its apparent *height* from its upper fin to its lower **What If ?** fin? Is the apparent height *h'* of the fish different from the actual height *h*?

Answer Because all points on the fish appear to be fractionally closer to the observer, we expect the height to be smaller. Let the distance *d* in Figure 36.20a be measured to the top fin and let the distance to the bottom fin be $d + h$. Then the images of the top and bottom of the fish are located at

$$
q_{\text{top}} = -0.752d
$$

$$
q_{\text{bottom}} = -0.752(d+h)
$$

The apparent height *h*^{\prime} of the fish is

$$
h' = q_{\text{top}} - q_{\text{bottom}} = -0.752d - [-0.752(d+h)] = 0.752h
$$

Hence, the fish appears to be approximately three-fourths its actual height.

Figure 36.21 To locate the image formed by a lens, we use the virtual image at I_1 formed by surface 1 as the object for the image formed by surface 2. The point C_1 is the center of curvature of surface 1.

36.4 Images Formed by Thin Lenses

Lenses are commonly used to form images by refraction in optical instruments such as cameras, telescopes, and microscopes. Let's use what we just learned about images formed by refracting surfaces to help locate the image formed by a lens. Light passing through a lens experiences refraction at two surfaces. The development we shall follow is based on the notion that the image formed by one refracting surface serves as the object for the second surface. We shall analyze a thick lens first and then let the thickness of the lens be approximately zero.

*R*₁ *R*₂ *Consider a lens having an index of refraction <i>n* **and two spherical sur**faces with radii of curvature R_1 and R_2 as in Figure 36.21. (Notice that R_1 is the radius of curvature of the lens surface the light from the object reaches first and R_2 is the radius of curvature of the other surface of the lens.) An object is placed at point *O* at a distance p_1 in front of surface 1. *<u>one)</u>* An object is placed at poin

Let's begin with the image formed by surface 1. Using Equation 36.8 and assuming $n_1 = 1$ because the lens is surrounded by air, we find that and assuming $n_1 = 1$ because the lens is surrounded by air, we find that the image I_1 formed by surface 1 satisfies the equation

$$
\frac{1}{p_1} + \frac{n}{q_1} = \frac{n-1}{R_1}
$$
 (36.10)

where q_1 is the position of the image formed by surface 1. If the image formed by surface 1 is virtual (Fig. 36.21a), then q_1 is negative; it is positive if the image is real (Fig. 36.21b).

Now let's apply Equation 36.8 to surface 2, taking $n_1 = n$ and $n_2 = 1$. (We make this switch in index because the light rays approaching surface 2 are *in the material of the lens*, and this material has index *n*.) Taking p_2 as the object distance for surface 2 and q_2 as the image distance gives

$$
\frac{n}{p_2} + \frac{1}{q_2} = \frac{1-n}{R_2} \tag{36.11}
$$

We now introduce mathematically that the image formed by the first surface acts as the object for the second surface. If the image from surface 1 is virtual as in Figure 36.21a, we see that p_2 , measured from surface 2, is related to q_1 as $p_2 = -q_1 + t$, where *t* is the thickness of the lens. Because q_1 is negative, p_2 is a positive number. Figure 36.21b shows the case of the image from surface 1 being real. In this situation, q_1 is positive and p_2 = $-q_1 + t$, where the image from surface 1 acts as a **virtual object**, so p_2 is negative. Regardless of the type of image from surface 1, the same equation describes the location of the object for surface 2 based on our sign

b **(36.15)**

convention. For a *thin* lens (one whose thickness is small compared with the radii of curvature), we can neglect *t*. In this approximation, $p_2 = -q_1$ for either type of image from surface 1. Hence, Equation 36.11 becomes

$$
-\frac{n}{q_1} + \frac{1}{q_2} = \frac{1-n}{R_2}
$$
 (36.12)

Adding Equations 36.10 and 36.12 gives

$$
\frac{1}{p_1} + \frac{1}{q_2} = (n-1)\left(\frac{1}{R_1} - \frac{1}{R_2}\right)
$$
 (36.13)

For a thin lens, we can omit the subscripts on p_1 and q_2 in Equation 36.13 and call the object distance p and the image distance q as in Figure 36.22. Hence, we can write Equation 36.13 as

$$
\frac{1}{p} + \frac{1}{q} = (n-1)\left(\frac{1}{R_1} - \frac{1}{R_2}\right)
$$
 (36.14)

This expression relates the image distance q of the image formed by a thin lens to the object distance *p* and to the lens properties (index of refraction and radii of curvature). It is valid only for paraxial rays and only when the lens thickness is much less than R_1 and R_2 .

The **focal length** *f* of a thin lens is the image distance that corresponds to an infinite object distance, just as with mirrors. Letting p approach ∞ and q approach f in Equation 36.14, we see that the inverse of the focal length for a thin lens is

$$
\frac{1}{f} = (n-1)\left(\frac{1}{R_1} - \frac{1}{R_2}\right)
$$

This relationship is called the **lens-makers' equation** because it can be used to determine the values of R_1 and R_2 needed for a given index of refraction and a desired focal length *f.* Conversely, if the index of refraction and the radii of curvature of a lens are given, this equation can be used to find the focal length. If the lens is immersed in something other than air, this same equation can be used, with *n* interpreted as the *ratio* of the index of refraction of the lens material to that of the surrounding fluid.

Using Equation 36.15, we can write Equation 36.14 in a form identical to Equation 36.6 for mirrors:

$$
\frac{1}{p} + \frac{1}{q} = \frac{1}{f}
$$
 (36.16)

This equation, called the **thin lens equation,** can be used to relate the image distance and object distance for a thin lens.

Because light can travel in either direction through a lens, each lens has two focal points, one for light rays passing through in one direction and one for rays passing through in the other direction. These two focal points are illustrated in Figure 36.23 for a plano-convex lens (a converging lens) and a plano-concave lens (a diverging lens).

Figure 36.22 Simplified geometry for a thin lens.

K Lens-makers' equation

Pitfall Prevention 36.5

A Lens Has Two Focal Points but Only One Focal Length A lens has a focal point on each side, front and back. There is only one focal length, however; each of the two focal points is located the same distance from the lens (Fig. 36.23). As a result, the lens forms an image of an object at the same point if it is turned around. In practice, that might not happen because real lenses are not infinitesimally thin.

Figure 36.24 A diagram for obtaining the signs of *p* and *q* for a thin lens. (This diagram also applies to a refracting surface.)

Figure 36.25 Various lens shapes. (a) Converging lenses have a positive focal length and are thickest at the middle. (b) Diverging lenses have a negative focal length and are thickest at the edges.

Figure 36.24 is useful for obtaining the signs of *p* and *q*, and Table 36.3 gives the sign conventions for thin lenses. These sign conventions are the *same* as those for refracting surfaces (see Table 36.2).

Various lens shapes are shown in Figure 36.25. Notice that a converging lens is thicker at the center than at the edge, whereas a diverging lens is thinner at the center than at the edge.

Magnification of Images

Consider a thin lens through which light rays from an object pass. As with mirrors (Eq. 36.2), a geometric construction shows that the lateral magnification of the image is

$$
M = \frac{h'}{h} = -\frac{q}{p} \tag{36.17}
$$

From this expression, it follows that when *M* is positive, the image is upright and on the same side of the lens as the object. When *M* is negative, the image is inverted and on the side of the lens opposite the object.

Ray Diagrams for Thin Lenses

Ray diagrams are convenient for locating the images formed by thin lenses or systems of lenses. They also help clarify our sign conventions. Figure 36.26 shows such diagrams for three single-lens situations.

To locate the image of a *converging* lens (Figs. 36.26a and 36.26b), the following three rays are drawn from the top of the object:

Figure 36.26 Ray diagrams for locating the image formed by a thin lens.

- Ray 1 is drawn parallel to the principal axis. After being refracted by the lens, this ray passes through the focal point on the back side of the lens.
- Ray 2 is drawn through the focal point on the front side of the lens (or as if coming from the focal point if $p < f$ and emerges from the lens parallel to the principal axis.
- Ray 3 is drawn through the center of the lens and continues in a straight line.

To locate the image of a *diverging* lens (Fig. 36.26c), the following three rays are drawn from the top of the object:

- Ray 1 is drawn parallel to the principal axis. After being refracted by the lens, this ray emerges directed away from the focal point on the front side of the lens.
- Ray 2 is drawn in the direction toward the focal point on the back side of the lens and emerges from the lens parallel to the principal axis.
- Ray 3 is drawn through the center of the lens and continues in a straight line.

For the converging lens in Figure 36.26a, where the object is to the left of the focal point $(p > f)$, the image is real and inverted. When the object is between the focal point and the lens ($p < f$) as in Figure 36.26b, the image is virtual and upright. In that case, the lens acts as a magnifying glass, which we study in more detail in Section 36.8. For a diverging lens (Fig. 36.26c), the image is always virtual and upright, regardless of where the object is placed. These geometric constructions are reasonably accurate only if the distance between the rays and the principal axis is much less than the radii of the lens surfaces.

Refraction occurs only at the surfaces of the lens. A certain lens design takes advantage of this behavior to produce the *Fresnel lens,* a powerful lens without great thickness. Because only the surface curvature is important in the refracting qualities of the lens, material in the middle of a Fresnel lens is removed as shown in the cross sections of lenses in Figure 36.27. Because the edges of the curved segments cause some distortion, Fresnel lenses are generally used only in situations in which image quality is less important than reduction of weight. A classroom overhead projector often uses a Fresnel lens; the circular edges between segments of the lens can be seen by looking closely at the light projected onto a screen.

Q uick Quiz 36.6 What is the focal length of a pane of window glass? **(a)** zero **(b)** infinity **(c)** the thickness of the glass **(d)** impossible to determine

Figure 36.27 A side view of the construction of a Fresnel lens. (a) The thick lens refracts a light ray as shown. (b) Lens material in the bulk of the lens is cut away, leaving only the material close to the curved surface. (c) The small pieces of remaining material are moved to the left to form a flat surface on the left of the Fresnel lens with ridges on the right surface. From a front view, these ridges would be circular in shape. This new lens refracts light in the same way as the lens in (a). (d) A Fresnel lens used in a lighthouse shows several segments with the ridges discussed in (c).

Example 36.8 Images Formed by a Converging Lens

A converging lens has a focal length of 10.0 cm.

(A) An object is placed 30.0 cm from the lens. Construct a ray diagram, find the image distance, and describe the image.

Solu t ion

Conceptualize Because the lens is converging, the focal length is positive (see Table 36.3). We expect the possibilities of both real and virtual images.

Categorize Because the object distance is larger than the focal length, we expect the image to be real. The ray diagram for this situation is shown in Figure 36.28a.

Figure 36.28 (Example 36.8) An image is formed by a converging lens.

 -0.500

$$
\frac{1}{q} = \frac{1}{f} - \frac{1}{p}
$$

$$
\frac{1}{q} = \frac{1}{10.0 \text{ cm}} - \frac{1}{30.0 \text{ cm}}
$$

$$
q = +15.0 \text{ cm}
$$

$$
M = -\frac{q}{p} = -\frac{15.0 \text{ cm}}{30.0 \text{ cm}} =
$$

.

p

Find the magnification of the image from Equation 36.17:

Finalize The positive sign for the image distance tells us that the image is indeed real and on the back side of the lens. The magnification of the image tells us that the image is reduced in height by one half, and the negative sign for *M* tells us that the image is inverted.

(B) An object is placed 10.0 cm from the lens. Find the image distance and describe the image.

Solu t ion

Categorize Because the object is at the focal point, we expect the image to be infinitely far away.

Finalize This result means that rays originating from an object positioned at the focal point of a lens are refracted so that the image is formed at an infinite distance from the lens; that is, the rays travel parallel to one another after refraction.

(C) An object is placed 5.00 cm from the lens. Construct a ray diagram, find the image distance, and describe the image.

Solu t ion

Categorize Because the object distance is smaller than the focal length, we expect the image to be virtual. The ray diagram for this situation is shown in Figure 36.28b.

▸ **36.8** continued

Analyze Find the image distance by using Equation

Find the magnification of the image from Equation

Finalize The negative image distance tells us that the image is virtual and formed on the side of the lens from which the light is incident, the front side. The image is enlarged, and the positive sign for *M* tells us that the image is upright.

WHAT IF? What if the object moves right up to the lens surface so that $p \rightarrow 0$? Where is the image?

Answer In this case, because $p \ll R$, where *R* is either of the radii of the surfaces of the lens, the curvature of the lens can be ignored. The lens should appear to have the same effect as a flat piece of material, which suggests that the image is just on the front side of the lens, at $q = 0$. This conclusion can be verified mathematically by rearranging the thin lens equation:

$$
\frac{1}{q}=\frac{1}{f}-\frac{1}{p}
$$

If we let $p \rightarrow 0$, the second term on the right becomes very large compared with the first and we can neglect $1/f$. The equation becomes

$$
\frac{1}{q} = -\frac{1}{p} \rightarrow q = -p = 0
$$

Therefore, q is on the front side of the lens (because it has the opposite sign as p) and right at the lens surface.

Example 36.9 Images Formed by a Diverging Lens

A diverging lens has a focal length of 10.0 cm.

(A) An object is placed 30.0 cm from the lens. Construct a ray diagram, find the image distance, and describe the image.

Solu t ion

Conceptualize Because the lens is diverging, the focal length is negative (see Table 36.3). The ray diagram for this situation is shown in Figure 36.29a.

Figure 36.29 (Example 36.9) An image is formed by a diverging lens. *continued*

▸ **36.9** continued

Categorize Because the lens is diverging, we expect it to form an upright, reduced, virtual image for any object position.

Finalize This result confirms that the image is virtual, smaller than the object, and upright. Look through the diverging lens in a door peephole to see this type of image.

(B) An object is placed 10.0 cm from the lens. Construct a ray diagram, find the image distance, and describe the image.

Solu t ion

The ray diagram for this situation is shown in Figure 36.29b.

(C) An object is placed 5.00 cm from the lens. Construct a ray diagram, find the image distance, and describe the image.

SOLUTION

The ray diagram for this situation is shown in Figure 36.29c.

Finalize For all three object positions, the image position is negative and the magnification is a positive number smaller than 1, which confirms that the image is virtual, smaller than the object, and upright.

Combinations of Thin Lenses

If two thin lenses are used to form an image, the system can be treated in the following manner. First, the image formed by the first lens is located as if the second lens were not present. Then a ray diagram is drawn for the second lens, with the

.

image formed by the first lens now serving as the object for the second lens. The second image formed is the final image of the system. If the image formed by the first lens lies on the back side of the second lens, that image is treated as a virtual object for the second lens (that is, in the thin lens equation, *p* is negative). The same procedure can be extended to a system of three or more lenses. Because the magnification due to the second lens is performed on the magnified image due to the first lens, the overall magnification of the image due to the combination of lenses is the product of the individual magnifications:

$$
M = M_1 M_2 \tag{36.18}
$$

This equation can be used for combinations of any optical elements such as a lens and a mirror. For more than two optical elements, the magnifications due to all elements are multiplied together.

Let's consider the special case of a system of two lenses of focal lengths f_1 and f_2 in contact with each other. If $p_1 = p$ is the object distance for the combination, application of the thin lens equation (Eq. 36.16) to the first lens gives

$$
\frac{1}{p} + \frac{1}{q_1} = \frac{1}{f_1}
$$

where q_1 is the image distance for the first lens. Treating this image as the object for the second lens, we see that the object distance for the second lens must be $p_2 =$ $-q₁$. (The distances are the same because the lenses are in contact and assumed to be infinitesimally thin. The object distance is negative because the object is virtual if the image from the first lens is real.) Therefore, for the second lens,

$$
\frac{1}{p_2} + \frac{1}{q_2} = \frac{1}{f_2} \rightarrow -\frac{1}{q_1} + \frac{1}{q} = \frac{1}{f_2}
$$

where $q = q_2$ is the final image distance from the second lens, which is the image distance for the combination. Adding the equations for the two lenses eliminates q_1 and gives

$$
\frac{1}{p} + \frac{1}{q} = \frac{1}{f_1} + \frac{1}{f_2}
$$

If the combination is replaced with a single lens that forms an image at the same location, its focal length must be related to the individual focal lengths by the expression

$$
\frac{1}{f} = \frac{1}{f_1} + \frac{1}{f_2}
$$
 (36.19)

Therefore, two thin lenses in contact with each other are equivalent to a single thin lens having a focal length given by Equation 36.19.

Example 36.10 Where Is the Final Image?

Two thin converging lenses of focal lengths $f_1 = 10.0$ cm and $f_2 = 20.0$ cm are separated by 20.0 cm as illustrated in Figure 36.30. An object is placed 30.0 cm to the left of lens 1. Find the position and the magnification of the final image.

Solu t ion

Conceptualize Imagine light rays passing through the first lens and forming a real image (because $p > f$) in the absence of a second lens. Figure 36.30 shows these light rays forming the inverted image I_1 . Once the light rays converge to the image point, they do not stop. They continue through the image point and interact with the

Figure 36.30 (Example 36.10) A combination of two converging lenses. The ray diagram shows the location of the final image (I_2) due to the combination of lenses. The black dots are the focal points of lens 1, and the red dots are the focal points of lens 2.

$$
f_{\rm{max}}
$$

K Focal length for a **combination of two thin lenses in contact**

▸ **36.10** continued

second lens. The rays leaving the image point behave in the same way as the rays leaving an object. Therefore, the image of the first lens serves as the object of the second lens.

Categorize We categorize this problem as one in which the thin lens equation is applied in a stepwise fashion to the two lenses.

The image formed by this lens acts as the object for the second lens. Therefore, the object distance for the second lens is 20.0 cm $-$ 15.0 cm $=$ 5.00 cm.

Finalize The negative sign on the overall magnification indicates that the final image is inverted with respect to the initial object. Because the absolute value of the magnification is less than 1, the final image is smaller than the object.

Because q_2 is negative, the final image is on the front, or left, side of lens 2. These conclusions are consistent with the ray diagram in Figure 36.30.

WHAT IF? Suppose you want to create an upright image with this system of two lenses. How must the second lens be moved?

Answer Because the object is farther from the first lens than the focal length of that lens, the first image is inverted. Consequently, the second lens must invert the image once again so that the final image is upright. An **What If ?** inverted image is only formed by a converging lens if the object is outside the focal point. Therefore, the image formed by the first lens must be to the left of the focal point of the second lens in Figure 36.30. To make that happen, you must move the second lens at least as far away from the first lens as the sum $q_1 + f_2 = 15.0$ cm + $20.0 \text{ cm} = 35.0 \text{ cm}.$

36.5 Lens Aberrations

Our analysis of mirrors and lenses assumes rays make small angles with the principal axis and the lenses are thin. In this simple model, all rays leaving a point source focus at a single point, producing a sharp image. Clearly, that is not always true. When the approximations used in this analysis do not hold, imperfect images are formed.

A precise analysis of image formation requires tracing each ray, using Snell's law at each refracting surface and the law of reflection at each reflecting surface. This procedure shows that the rays from a point object do not focus at a single point, with the result that the image is blurred. The departures of actual images from the ideal predicted by our simplified model are called **aberrations.**

Spherical Aberration

Spherical aberration occurs because the focal points of rays far from the principal axis of a spherical lens (or mirror) are different from the focal points of rays of the same wavelength passing near the axis. Figure 36.31 illustrates spherical aberration for parallel rays passing through a converging lens. Rays passing through points near the center of the lens are imaged farther from the lens than rays passing through points near the edges. Figure 36.8 earlier in the chapter shows spherical aberration for light rays leaving a point object and striking a spherical mirror.

Many cameras have an adjustable aperture to control light intensity and reduce spherical aberration. (An aperture is an opening that controls the amount of light passing through the lens.) Sharper images are produced as the aperture size is reduced; with a small aperture, only the central portion of the lens is exposed to the light and therefore a greater percentage of the rays are paraxial. At the same time, however, less light passes through the lens. To compensate for this lower light intensity, a longer exposure time is used.

In the case of mirrors, spherical aberration can be minimized through the use of a parabolic reflecting surface rather than a spherical surface. Parabolic surfaces are not used often, however, because those with high-quality optics are very expensive to make. Parallel light rays incident on a parabolic surface focus at a common point, regardless of their distance from the principal axis. Parabolic reflecting surfaces are used in many astronomical telescopes to enhance image quality.

Chromatic Aberration

In Chapter 35, we described dispersion, whereby a material's index of refraction varies with wavelength. Because of this phenomenon, violet rays are refracted more than red rays when white light passes through a lens (Fig. 36.32). The figure shows that the focal length of a lens is greater for red light than for violet light. Other wavelengths (not shown in Fig. 36.32) have focal points intermediate between those of red and violet, which causes a blurred image and is called **chromatic aberration.**

Chromatic aberration for a diverging lens also results in a shorter focal length for violet light than for red light, but on the front side of the lens. Chromatic aberration can be greatly reduced by combining a converging lens made of one type of glass and a diverging lens made of another type of glass.

36.6 The Camera

The photographic **camera** is a simple optical instrument whose essential features are shown in Figure 36.33. It consists of a light-tight chamber, a converging lens that produces a real image, and a light-sensitive component behind the lens on which the image is formed.

The image in a digital camera is formed on a *charge-coupled device* (CCD), which digitizes the image, turning it into binary code. (A CCD is described in Section 40.2.) The digital information is then stored on a memory chip for playback on the camera's display screen, or it can be downloaded to a computer. Film cameras are similar to digital cameras except that the light forms an image on light-sensitive film rather than on a CCD. The film must then be chemically processed to produce the image on paper. In the discussion that follows, we assume the camera is digital.

A camera is focused by varying the distance between the lens and the CCD. For proper focusing—which is necessary for the formation of sharp images—the lens-to-CCD distance depends on the object distance as well as the focal length of the lens.

The shutter, positioned behind the lens, is a mechanical device that is opened for selected time intervals, called *exposure times.* You can photograph moving objects by using short exposure times or photograph dark scenes (with low light levels) by using long exposure times. If this adjustment were not available, it would be impossible

Figure 36.31 Spherical aberration caused by a converging lens. Does a diverging lens cause spherical aberration?

Figure 36.32 Chromatic aberration caused by a converging lens.

Figure 36.33 Cross-sectional view of a simple digital camera. The CCD is the light-sensitive component of the camera. In a nondigital camera, the light from the lens falls onto photographic film. In reality, $p \gg q$.

to take stop-action photographs. For example, a rapidly moving vehicle could move enough in the time interval during which the shutter is open to produce a blurred image. Another major cause of blurred images is the movement of the camera while the shutter is open. To prevent such movement, either short exposure times or a tripod should be used, even for stationary objects. Typical shutter speeds (that is, exposure times) are $\frac{1}{30}$ s, $\frac{1}{60}$ s, $\frac{1}{125}$ s, and $\frac{1}{250}$ s. In practice, stationary objects are normally shot with an intermediate shutter speed of $\frac{1}{60}$ s.

The intensity *I* of the light reaching the CCD is proportional to the area of the lens. Because this area is proportional to the square of the diameter *D*, it follows that *I* is also proportional to D^2 . Light intensity is a measure of the rate at which energy is received by the CCD per unit area of the image. Because the area of the image is proportional to q^2 and $q \approx f$ (when $p \gg f$, so p can be approximated as infinite), we conclude that the intensity is also proportional to $1/f^2$ and therefore that $I \propto D^2/f^2$.

The ratio *f*/*D* is called the *f***-number** of a lens:

$$
f\text{-number} \equiv \frac{f}{D} \tag{36.20}
$$

Hence, the intensity of light incident on the CCD varies according to the following proportionality:

$$
I \propto \frac{1}{(f/D)^2} \propto \frac{1}{(f\text{-number})^2}
$$
 (36.21)

The *f*-number is often given as a description of the lens's "speed." The lower the *f*-number, the wider the aperture and the higher the rate at which energy from the light exposes the CCD; therefore, a lens with a low *f*-number is a "fast" lens. The conventional notation for an *f*-number is " f " followed by the actual number. For example, " *f*/4" means an *f*-number of 4; it *does not* mean to divide *f* by 4! Extremely fast lenses, which have *f*-numbers as low as approximately *f*/1.2, are expensive because it is very difficult to keep aberrations acceptably small with light rays passing through a large area of the lens. Camera lens systems (that is, combinations of lenses with adjustable apertures) are often marked with multiple *f*-numbers, usually *f*/2.8, *f*/4, *f*/5.6, *f*/8, *f*/11, and *f*/16. Any one of these settings can be selected by adjusting the aperture, which changes the value of *D.* Increasing the setting from one *f*-number to the next higher value (for example, from *f*/2.8 to *f*/4) decreases the area of the aperture by a factor of 2. The lowest *f*-number setting on a camera lens corresponds to a wide-open aperture and the use of the maximum possible lens area.

Simple cameras usually have a fixed focal length and a fixed aperture size, with an *f*-number of about *f*/11. This high value for the *f*-number allows for a large **depth of field,** meaning that objects at a wide range of distances from the lens form reasonably sharp images on the CCD. In other words, the camera does not have to be focused.

Q uick Quiz 36.7 A camera can be modeled as a simple converging lens that focuses an image on the CCD, acting as the screen. A camera is initially focused on a distant object. To focus the image of an object close to the camera, must the lens be

(a) moved away from the CCD, **(b)** left where it is, or **(c)** moved toward the CCD?

Example 36.11 Finding the Correct Exposure Time

The lens of a digital camera has a focal length of 55 mm and a speed (an *f*-number) of *f*/1.8. The correct exposure time for this speed under certain conditions is known to be $\frac{1}{500}$ s.

(A) Determine the diameter of the lens.

Solu t ion

Conceptualize Remember that the *f*-number for a lens relates its focal length to its diameter.

▸ **36.11** continued

Categorize We determine results using equations developed in this section, so we categorize this example as a substitution problem.

Solve Equation 36.20 for *D* and substitute numerical values:

 $D = \frac{f}{f - \text{number}} = \frac{55 \text{ mm}}{1.8} = |31 \text{ mm}$

(B) Calculate the correct exposure time if the *f*-number is changed to *f*/4 under the same lighting conditions.

Solu t ion

The total light energy hitting the CCD is proportional to the product of the intensity and the exposure time. If *I* is the light intensity reaching the CCD, the energy per unit area received by the CCD in a time interval Δt is proportional to *I* Δt . Comparing the two situations, we require that $I_1 \Delta t_1 = I_2 \Delta t_2$, where Δt_1 is the correct exposure time for *f*/1.8 and Δt_2 is the correct exposure time for *f*/4.

Use this result and substitute for *I* from Equation 36.21:

$$
I_1 \Delta t_1 = I_2 \Delta t_2 \rightarrow \frac{\Delta t_1}{(f_1\text{-number})^2} = \frac{\Delta t_2}{(f_2\text{-number})^2}
$$

$$
\Delta t_2 = \left(\frac{f_2\text{-number}}{f_1\text{-number}}\right)^2 \Delta t_1 = \left(\frac{4}{1.8}\right)^2 \left(\frac{1}{500} \text{ s}\right) \approx \frac{1}{100} \text{ s}
$$

 $\frac{f_1}{f_1$ -number

Solve for Δt_2 and substitute numerical values:

As the aperture size is reduced, the exposure time must increase.

36.7 The Eye

Like a camera, a normal eye focuses light and produces a sharp image. The mechanisms by which the eye controls the amount of light admitted and adjusts to produce correctly focused images, however, are far more complex, intricate, and effective than those in even the most sophisticated camera. In all respects, the eye is a physiological wonder.

Figure 36.34 shows the basic parts of the human eye. Light entering the eye passes through a transparent structure called the *cornea* (Fig. 36.35), behind which are a clear liquid (the *aqueous humor*), a variable aperture (the *pupil,* which is an opening in the *iris*), and the *crystalline lens.* Most of the refraction occurs at the outer surface of the eye, where the cornea is covered with a film of tears. Relatively little refraction occurs in the crystalline lens because the aqueous humor in contact with the lens has an average index of refraction close to that of the lens. The iris, which is the colored portion of the eye, is a muscular diaphragm that controls pupil size. The iris regulates the amount of light entering the eye by dilating, or

Figure 36.35 Close-up photograph of the cornea of the human eye.

opening, the pupil in low-light conditions and contracting, or closing, the pupil in high-light conditions. The *f*-number range of the human eye is approximately *f*/2.8 to *f*/16.

The cornea–lens system focuses light onto the back surface of the eye, the *retina,* which consists of millions of sensitive receptors called *rods* and *cones.* When stimulated by light, these receptors send impulses via the optic nerve to the brain, where an image is perceived. By this process, a distinct image of an object is observed when the image falls on the retina.

The eye focuses on an object by varying the shape of the pliable crystalline lens through a process called **accommodation.** The lens adjustments take place so swiftly that we are not even aware of the change. Accommodation is limited in that objects very close to the eye produce blurred images. The **near point** is the closest distance for which the lens can accommodate to focus light on the retina. This distance usually increases with age and has an average value of 25 cm. At age 10, the near point of the eye is typically approximately 18 cm. It increases to approximately 25 cm at age 20, to 50 cm at age 40, and to 500 cm or greater at age 60. The **far point** of the eye represents the greatest distance for which the lens of the relaxed eye can focus light on the retina. A person with normal vision can see very distant objects and therefore has a far point that can be approximated as infinity.

The retina is covered with two types of light-sensitive cells, called **rods** and **cones.** The rods are not sensitive to color but are more light sensitive than the cones. The rods are responsible for *scotopic vision,* or dark-adapted vision. Rods are spread throughout the retina and allow good peripheral vision for all light levels and motion detection in the dark. The cones are concentrated in the fovea. These cells are sensitive to different wavelengths of light. The three categories of these cells are called red, green, and blue cones because of the peaks of the color ranges to which they respond (Fig. 36.36). If the red and green cones are stimulated simultaneously (as would be the case if yellow light were shining on them), the brain interprets what is seen as yellow. If all three types of cones are stimulated by the separate colors red, blue, and green, white light is seen. If all three types of cones are stimulated by light that contains *all* colors, such as sunlight, again white light is seen.

Televisions and computer monitors take advantage of this visual illusion by having only red, green, and blue dots on the screen. With specific combinations of brightness in these three primary colors, our eyes can be made to see any color in the rainbow. Therefore, the yellow lemon you see in a television commercial is not actually yellow, it is red and green! The paper on which this page is printed is made of tiny, matted, translucent fibers that scatter light in all directions, and the resultant mixture of colors appears white to the eye. Snow, clouds, and white hair are not actually white. In fact, there is no such thing as a white pigment. The appearance of these things is a consequence of the scattering of light containing all colors, which we interpret as white.

Conditions of the Eye

When the eye suffers a mismatch between the focusing range of the lens–cornea system and the length of the eye, with the result that light rays from a near object reach the retina before they converge to form an image as shown in Figure 36.37a, the condition is known as **farsightedness** (or *hyperopia*). A farsighted person can usually see faraway objects clearly but not nearby objects. Although the near point of a normal eye is approximately 25 cm, the near point of a farsighted person is much farther away. The refracting power in the cornea and lens is insufficient to focus the light from all but distant objects satisfactorily. The condition can be corrected by placing a converging lens in front of the eye as shown in Figure 36.37b. The lens refracts the incoming rays more toward the principal axis before entering the eye, allowing them to converge and focus on the retina.

Figure 36.36 Approximate color sensitivity of the three types of cones in the retina.

Figure 36.37 (a) An uncorrected farsighted eye. (b) A farsighted eye corrected with a converging lens.

A person with **nearsightedness** (or *myopia*), another mismatch condition, can focus on nearby objects but not on faraway objects. The far point of the nearsighted eye is not infinity and may be less than 1 m. The maximum focal length of the nearsighted eye is insufficient to produce a sharp image on the retina, and rays from a distant object converge to a focus in front of the retina. They then continue past that point, diverging before they finally reach the retina and causing blurred vision (Fig. 36.38a). Nearsightedness can be corrected with a diverging lens as shown in Figure 36.38b. The lens refracts the rays away from the principal axis before they enter the eye, allowing them to focus on the retina.

Beginning in middle age, most people lose some of their accommodation ability as their visual muscles weaken and the lens hardens. Unlike farsightedness, which is a mismatch between focusing power and eye length, **presbyopia** (literally, "oldage vision") is due to a reduction in accommodation ability. The cornea and lens do not have sufficient focusing power to bring nearby objects into focus on the retina. The symptoms are the same as those of farsightedness, and the condition can be corrected with converging lenses.

In eyes having a defect known as **astigmatism,** light from a point source produces a line image on the retina. This condition arises when the cornea, the lens, or both are not perfectly symmetric. Astigmatism can be corrected with lenses that have different curvatures in two mutually perpendicular directions.

Optometrists and ophthalmologists usually prescribe lenses¹ measured in **diopters:** the **power** *P* of a lens in diopters equals the inverse of the focal length in meters: $P = 1/f$. For example, a converging lens of focal length $+20$ cm has a power of $+5.0$ diopters, and a diverging lens of focal length -40 cm has a power of -2.5 diopters.

Figure 36.38 (a) An uncorrected nearsighted eye. (b) A nearsighted eye corrected with a diverging lens.

¹The word *lens* comes from *lentil*, the name of an Italian legume. (You may have eaten lentil soup.) Early eyeglasses were called "glass lentils" because the biconvex shape of their lenses resembled the shape of a lentil. The first lenses for farsightedness and presbyopia appeared around 1280; concave eyeglasses for correcting nearsightedness did not appear until more than 100 years later.

Q uick Quiz 36.8 Two campers wish to start a fire during the day. One camper

- is nearsighted, and one is farsighted. Whose glasses should be used to focus
- the Sun's rays onto some paper to start the fire? **(a)** either camper **(b)** the near-

sighted camper **(c)** the farsighted camper

36.8 The Simple Magnifier

The simple magnifier, or magnifying glass, consists of a single converging lens. This device increases the apparent size of an object.

Suppose an object is viewed at some distance p from the eye as illustrated in Figure 36.39. The size of the image formed at the retina depends on the angle θ subtended by the object at the eye. As the object moves closer to the eye, θ increases and a larger image is observed. An average normal human eye, however, cannot focus on an object closer than about 25 cm, the near point (Fig. 36.40a). Therefore, θ is maximum at the near point.

To further increase the apparent angular size of an object, a converging lens can be placed in front of the eye as in Figure 36.40b, with the object located at point *O*, immediately inside the focal point of the lens. At this location, the lens forms a virtual, upright, enlarged image. We define **angular magnification** m as the ratio of the angle subtended by an object with a lens in use (angle θ in Fig. 36.40b) to the angle subtended by the object placed at the near point with no lens in use (angle θ_0) in Fig. 36.40a): *h' h*

$$
m \equiv \frac{\theta}{\theta_0} \tag{36.22}
$$

The angular magnification is a maximum when the image is at the near point of the eye, that is, when $q = -25$ cm. The object distance corresponding to this image distance can be calculated from the thin lens equation:

$$
\frac{1}{p} + \frac{1}{-25 \text{ cm}} = \frac{1}{f} \rightarrow p = \frac{25f}{25 + f}
$$

where *f* is the focal length of the magnifier in centimeters. If we make the small-angle approximations

$$
\tan \theta_0 \approx \theta_0 \approx \frac{h}{25} \quad \text{and} \quad \tan \theta \approx \theta \approx \frac{h}{p} \tag{36.23}
$$

Equation 36.22 becomes

$$
m_{\text{max}} = \frac{\theta}{\theta_0} = \frac{h/p}{h/25} = \frac{25}{p} = \frac{25}{25f/(25+f)}
$$

$$
m_{\text{max}} = 1 + \frac{25 \text{ cm}}{f}
$$
(36.24)

Although the eye can focus on an image formed anywhere between the near point and infinity, it is most relaxed when the image is at infinity. For the image formed by the magnifying lens to appear at infinity, the object has to be at the focal point of the lens. In this case, Equations 36.23 become

$$
\theta_0 \approx \frac{h}{25}
$$
 and $\theta \approx \frac{h}{f}$

and the magnification is

$$
m_{\min} = \frac{\theta}{\theta_0} = \frac{25 \text{ cm}}{f}
$$
 (36.25)

With a single lens, it is possible to obtain angular magnifications up to about 4 without serious aberrations. Magnifications up to about 20 can be achieved by using one or two additional lenses to correct for aberrations.

 θ

p

Figure 36.40 (a) An object placed at the near point of the eye $(p = 25$ cm) subtends an angle $\theta_0 \approx h/25$ cm at the eye. (b) An object placed near the focal point of a converging lens produces a magnified image that subtends an angle $\theta \approx h'/25$ cm at the eye.

A simple magnifier, also called a magnifying glass, is used to view an enlarged image of a portion of a map.

Example 36.12 Magnification of a Lens

What is the maximum magnification that is possible with a lens having a focal length of 10 cm, and what is the magnification of this lens when the eye is relaxed?

Solu t ion

Conceptualize Study Figure 36.40b for the situation in which a magnifying glass forms an enlarged image of an object placed inside the focal point. The maximum magnification occurs when the image is located at the near point of the eye. When the eye is relaxed, the image is at infinity.

Categorize We determine results using equations developed in this section, so we categorize this example as a substitution problem.

Evaluate the maximum magnification from Equation 36.24:

Evaluate the minimum magnification, when the eye is relaxed, from Equation 36.25:

 $m_{\text{max}} = 1 + \frac{25 \text{ cm}}{f} = 1 + \frac{25 \text{ cm}}{10 \text{ cm}} = 3.5$ $m_{\text{min}} = \frac{25 \text{ cm}}{f} = \frac{25 \text{ cm}}{10 \text{ cm}} = 2.5$

36.9 The Compound Microscope

A simple magnifier provides only limited assistance in inspecting minute details of an object. Greater magnification can be achieved by combining two lenses in a device called a **compound microscope** shown in Figure 36.41a. It consists of one lens, the *objective*, that has a very short focal length $f_0 < 1$ cm and a second lens, the *eyepiece*, that has a focal length f_e of a few centimeters. The two lenses are separated by a distance L that is much greater than either f_e or f_e . The object, which is placed just outside the focal point of the objective, forms a real, inverted image at I_1 , and this image is located at or close to the focal point of the eyepiece. The eyepiece, which serves as a simple magnifier, produces at I_2 a virtual, enlarged image of I_1 . The lateral magnification M_1 of the first image is $-q_1/p_1$. Notice from Figure 36.41a that q_1 is approximately equal to L and that the object is very close

Figure 36.41 (a) Diagram of a compound microscope, which consists of an objective lens and an eyepiece lens. (b) A compound microscope.

to the focal point of the objective: $p_1 \approx f_o$. Therefore, the lateral magnification by the objective is

$$
M_o \approx \, - \frac{L}{f_o}
$$

The angular magnification by the eyepiece for an object (corresponding to the image at I_1) placed at the focal point of the eyepiece is, from Equation 36.25,

$$
m_e = \frac{25 \text{ cm}}{f_e}
$$

The overall magnification of the image formed by a compound microscope is defined as the product of the lateral and angular magnifications:

$$
M = M_o m_e = -\frac{L}{f_o} \left(\frac{25 \text{ cm}}{f_e} \right)
$$
 (36.26)

The negative sign indicates that the image is inverted.

The microscope has extended human vision to the point where we can view previously unknown details of incredibly small objects. The capabilities of this instrument have steadily increased with improved techniques for precision grinding of lenses. A question often asked about microscopes is, "If one were extremely patient and careful, would it be possible to construct a microscope that would enable the human eye to see an atom?" The answer is no, as long as light is used to illuminate the object. For an object under an optical microscope (one that uses visible light) to be seen, the object must be at least as large as a wavelength of light. Because the diameter of any atom is many times smaller than the wavelengths of visible light, the mysteries of the atom must be probed using other types of "microscopes."

36.10 The Telescope

Two fundamentally different types of **telescopes** exist; both are designed to aid in viewing distant objects such as the planets in our solar system. The first type, the **refracting telescope,** uses a combination of lenses to form an image.

Like the compound microscope, the refracting telescope shown in Figure 36.42a has an objective and an eyepiece. The two lenses are arranged so that the objective

Figure 36.42 (a) Lens arrangement in a refracting telescope, with the object at infinity. (b) A refracting telescope.

ony Freeman/Photo Edit .Tony Freeman/Photo Edit forms a real, inverted image of a distant object very near the focal point of the eyepiece. Because the object is essentially at infinity, this point at which I_1 forms is the focal point of the objective. The eyepiece then forms, at I_2 , an enlarged, inverted image of the image at I_1 . To provide the largest possible magnification, the image distance for the eyepiece is infinite. Therefore, the image due to the objective lens, which acts as the object for the eyepiece lens, must be located at the focal point of the eyepiece. Hence, the two lenses are separated by a distance $f_0 + f_e$, which corresponds to the length of the telescope tube.

The angular magnification of the telescope is given by θ/θ_o , where θ_o is the angle subtended by the object at the objective and θ is the angle subtended by the final image at the viewer's eye. Consider Figure 36.42a, in which the object is a very great distance to the left of the figure. The angle θ_a (to the *left* of the objective) subtended by the object at the objective is the same as the angle (to the *right* of the objective) subtended by the first image at the objective. Therefore,

$$
\tan \theta_o \approx \theta_o \approx -\frac{h'}{f_o}
$$

where the negative sign indicates that the image is inverted.

The angle θ subtended by the final image at the eye is the same as the angle that a ray coming from the tip of I_1 and traveling parallel to the principal axis makes with the principal axis after it passes through the lens. Therefore,

$$
\tan \theta \approx \theta \approx \frac{h'}{f_e}
$$

We have not used a negative sign in this equation because the final image is not inverted; the object creating this final image I_2 is I_1 , and both it and I_2 point in the same direction. Therefore, the angular magnification of the telescope can be expressed as

$$
m = \frac{\theta}{\theta_o} = \frac{h'/f_e}{-h'/f_o} = -\frac{f_o}{f_e}
$$
 (36.27)

This result shows that the angular magnification of a telescope equals the ratio of the objective focal length to the eyepiece focal length. The negative sign indicates that the image is inverted.

When you look through a telescope at such relatively nearby objects as the Moon and the planets, magnification is important. Individual stars in our galaxy, however, are so far away that they always appear as small points of light no matter how great the magnification. To gather as much light as possible, large research telescopes used to study very distant objects must have a large diameter. It is difficult and expensive to manufacture large lenses for refracting telescopes. Another difficulty with large lenses is that their weight leads to sagging, which is an additional source of aberration.

These problems associated with large lenses can be partially overcome by replacing the objective with a concave mirror, which results in the second type of telescope, the **reflecting telescope.** Because light is reflected from the mirror and does not pass through a lens, the mirror can have rigid supports on the back side. Such supports eliminate the problem of sagging.

Figure 36.43a shows the design for a typical reflecting telescope. The incoming light rays are reflected by a parabolic mirror at the base. These reflected rays converge toward point *A* in the figure, where an image would be formed. Before this image is formed, however, a small, flat mirror M reflects the light toward an opening in the tube's side and it passes into an eyepiece. This particular design is said to have a Newtonian focus because Newton developed it. Figure 36.43b shows such a telescope. Notice that the light never passes through glass (except through the small eyepiece) in the reflecting telescope. As a result, problems associated with chromatic aberration are virtually eliminated. The reflecting telescope can be made even shorter by orienting the flat mirror so that it reflects the light back

Figure 36.43 (a) A Newtonianfocus reflecting telescope. (b) A reflecting telescope. This type of telescope is shorter than that in Figure 36.42b.

Figure 36.44 A direct optical image of a solar system around the star HR8799, devel-

Summary

Definitions

The lateral magnification *M* of the image due to a mirror or lens is defined as the ratio of the image height h' to the object height h . It is equal to the negative of the ratio of the image distance *q* to the object distance *p*:

$$
M = \frac{\text{image height}}{\text{object height}} = \frac{h'}{h} = -\frac{q}{p}
$$
 (36.1, 36.2, 36.17)

toward the objective mirror and the light enters an eyepiece in a hole in the middle of the mirror.

The largest reflecting telescopes in the world are at the Keck Observatory on Mauna Kea, Hawaii. The site includes two telescopes with diameters of 10 m, each containing 36 hexagonally shaped, computer-controlled mirrors that work together to form a large reflecting surface. In addition, the two telescopes can work together to provide a telescope with an effective diameter of 85 m. In contrast, the largest refracting telescope in the world, at the Yerkes Observatory in Williams Bay, Wisconsin, has a diameter of only 1 m.

Figure 36.44 shows a remarkable optical image from the Keck Observatory of a solar system around the star HR8799, located 129 light-years from the Earth. The planets labeled b, c, and d were seen in 2008 and the innermost planet, labeled e, was observed in December 2010. This photograph represents the first direct image of another solar system and was made possible by the adaptive optics technology used in the Keck Observatory.

> The **angular magnification** *m* is the ratio of the angle subtended by an object with a lens in use (angle θ in Fig. 36.40b) to the angle subtended by the object placed at the near point with no lens in use (angle θ_0 in Fig. 36.40a):

$$
m \equiv \frac{\theta}{\theta_0} \tag{36.22}
$$

The ratio of the focal length of a camera lens to the diameter of the lens is called the *f***-number** of the lens:

$$
f\text{-number} \equiv \frac{f}{D} \tag{36.20}
$$

Concepts and Principles

In the paraxial ray approximation, the object distance p and image distance q for a spherical mirror of radius *R* are related by the **mirror equation:**

$$
\frac{1}{p} + \frac{1}{q} = \frac{2}{R} = \frac{1}{f}
$$
 (36.4, 36.6)

where $f = R/2$ is the **focal length** of the mirror.

The inverse of the **focal length** *f* of a thin lens surrounded by air is given by the **lens-makers' equation:**

$$
\frac{1}{f} = (n-1)\left(\frac{1}{R_1} - \frac{1}{R_2}\right)
$$
 (36.15)

Converging lenses have positive focal lengths, and **diverging lenses** have negative focal lengths.

An image can be formed by refraction from a spherical surface of radius *R.* The object and image distances for refraction from such a surface are related by

$$
\frac{n_1}{p} + \frac{n_2}{q} = \frac{n_2 - n_1}{R}
$$
 (36.8)

where the light is incident in the medium for which the index of refraction is n_1 and is refracted in the medium for which the index of refraction is $n₉$.

For a thin lens, and in the paraxial ray approximation, the object and image distances are related by the **thin lens equation:**

$$
\frac{1}{p} + \frac{1}{q} = \frac{1}{f}
$$
 (36.16)

The maximum magnification of a single lens of focal length *f* used as a simple magnifier is

$$
m_{\text{max}} = 1 + \frac{25 \text{ cm}}{f}
$$
 (36.24)

The overall magnification of the image formed by a compound microscope is

$$
M = -\frac{L}{f_o} \left(\frac{25 \text{ cm}}{f_e} \right) \tag{36.26}
$$

where f_o and f_e are the focal lengths of the objective and eyepiece lenses, respectively, and *L* is the distance between the lenses.

Objective Questions 1. denotes answer available in *Student Solutions Manual/Study Guide*

- **1.** The faceplate of a diving mask can be ground into a corrective lens for a diver who does not have perfect vision. The proper design allows the person to see clearly both under water and in the air. Normal eyeglasses have lenses with both the front and back surfaces curved. Should the lenses of a diving mask be curved (a) on the outer surface only, (b) on the inner surface only, or (c) on both surfaces?
- **2.** Lulu looks at her image in a makeup mirror. It is enlarged when she is close to the mirror. As she backs away, the image becomes larger, then impossible to identify when she is 30.0 cm from the mirror, then upside down when she is beyond 30.0 cm, and finally small, clear, and upside down when she is much farther from the mirror. **(i)** Is the mirror (a) convex, (b) plane, or (c) concave? **(ii)** Is the magnitude of its focal length (a) 0, (b) 15.0 cm, (c) 30.0 cm, (d) 60.0 cm, or (e) ∞ ?
- **3.** An object is located 50.0 cm from a converging lens having a focal length of 15.0 cm. Which of the following statements is true regarding the image formed by the lens? (a) It is virtual, upright, and larger than the object. (b) It is real, inverted, and smaller than the object. (c) It is virtual, inverted, and smaller than the object. (d) It is real, inverted, and larger than the object. (e) It is real, upright, and larger than the object.
- **4. (i)** When an image of an object is formed by a converging lens, which of the following statements is *always* true? More than one statement may be correct. (a) The image is virtual. (b) The image is real. (c) The image is upright. (d) The image is inverted. (e) None of those statements is always true. **(ii)** When the image of an object is formed by a diverging lens, which of the statements is *always* true?
- **5.** A converging lens in a vertical plane receives light from an object and forms an inverted image on a screen. An opaque card is then placed next to the lens, covering only the upper half of the lens. What happens to the image on the screen? (a) The upper half of the image disappears. (b) The lower half of the image disappears. (c) The entire image disappears. (d) The entire image is still visible, but is dimmer. (e) No change in the image occurs.

The angular magnification of a refracting telescope can be expressed as

$$
m=-\frac{f_o}{f_e}
$$
 (36.27)

where $f_{\scriptscriptstyle{\theta}}$ and $f_{\scriptscriptstyle{\theta}}$ are the focal lengths of the objective and eyepiece lenses, respectively. The angular magnification of a reflecting telescope is given by the same expression where f_o is the focal length of the objective mirror.

- **6.** If Josh's face is 30.0 cm in front of a concave shaving mirror creating an upright image 1.50 times as large as the object, what is the mirror's focal length? (a) 12.0 cm (b) 20.0 cm (c) 70.0 cm (d) 90.0 cm (e) none of those answers
- **7.** Two thin lenses of focal lengths $f_1 = 15.0$ and $f_2 =$ 10.0 cm, respectively, are separated by 35.0 cm along a common axis. The f_1 lens is located to the left of the f_2 lens. An object is now placed 50.0 cm to the left of the f_1 lens, and a final image due to light passing though both lenses forms. By what factor is the final image different in size from the object? (a) 0.600 (b) 1.20 (c) 2.40 (d) 3.60 (e) none of those answers
- **8.** If you increase the aperture diameter of a camera by a factor of 3, how is the intensity of the light striking the film affected? (a) It increases by factor of 3. (b) It decreases by a factor of 3. (c) It increases by a factor of 9. (d) It decreases by a factor of 9. (e) Increasing the aperture size doesn't affect the intensity.
- **9.** A person spearfishing from a boat sees a stationary fish a few meters away in a direction about 30° below the horizontal. To spear the fish, and assuming the spear does not change direction when it enters the water, should the person (a) aim above where he sees the fish, (b) aim below the fish, or (c) aim precisely at the fish?
- **10.** Model each of the following devices in use as consisting of a single converging lens. Rank the cases according to the ratio of the distance from the object to the lens to the focal length of the lens, from the largest ratio to the smallest. (a) a film-based movie projector showing a movie (b) a magnifying glass being used to examine a postage stamp (c) an astronomical refracting telescope being used to make a sharp image of stars on an electronic detector (d) a searchlight being used to produce a beam of parallel rays from a point source (e) a camera lens being used to photograph a soccer game
- **11.** A converging lens made of crown glass has a focal length of 15.0 cm when used in air. If the lens is immersed in water, what is its focal length? (a) negative

(b) less than 15.0 cm (c) equal to 15.0 cm (d) greater than 15.0 cm (e) none of those answers

- **12.** A converging lens of focal length 8 cm forms a sharp image of an object on a screen. What is the smallest possible distance between the object and the screen? (a) 0 (b) 4 cm (c) 8 cm (d) 16 cm (e) 32 cm
- **13. (i)** When an image of an object is formed by a plane mirror, which of the following statements is *always* true? More than one statement may be correct. (a) The image is virtual. (b) The image is real. (c) The image is upright. (d) The image is inverted. (e) None of those statements is always true. **(ii)** When the image of an object is formed by a concave mirror, which of the preceding statements are *always* true? **(iii)** When the image of an object is formed by a convex mirror, which of the preceding statements are *always* true?
- **14.** An object, represented by a gray arrow, is placed in front of a plane mirror. Which of the diagrams in Figure OQ36.14 correctly describes the image, represented by the pink arrow?

Conceptual Questions 1. denotes answer available in *Student Solutions Manual/Study Guide*

- **1.** A converging lens of short focal length can take light diverging from a small source and refract it into a beam of parallel rays. A Fresnel lens as shown in Figure 36.27 is used in a lighthouse for this purpose. A concave mirror can take light diverging from a small source and reflect it into a beam of parallel rays. (a) Is it possible to make a Fresnel mirror? (b) Is this idea original, or has it already been done?
- **2.** Explain this statement: "The focal point of a lens is the location of the image of a point object at infinity." (a) Discuss the notion of infinity in real terms as it applies to object distances. (b) Based on this statement, can you think of a simple method for determining the focal length of a converging lens?
- **3.** Why do some emergency vehicles have the symbol 3 ONAJUAMA written on the front?
- **4.** Explain why a mirror cannot give rise to chromatic aberration.
- **5.** (a) Can a converging lens be made to diverge light if it is placed into a liquid? (b) **What If?** What about a converging mirror?
- **6.** Explain why a fish in a spherical goldfish bowl appears larger than it really is.
- **7.** In Figure 36.26a, assume the gray object arrow is replaced by one that is much taller than the lens. (a) How many rays from the top of the object will strike the lens? (b) How many principal rays can be drawn in a ray diagram?
- **8.** Lenses used in eyeglasses, whether converging or diverging, are always designed so that the middle of the lens curves away from the eye like the center lenses of Figures 36.25a and 36.25b. Why?
- **9.** Suppose you want to use a converging lens to project the image of two trees onto a screen. As shown in Figure CQ36.9, one tree is a distance *x* from the lens and the other is at 2*x.* You adjust the screen so that the near tree is in focus. If you now want the far tree to be in focus, do you move the screen toward or away from the lens?

Figure CQ36.9

- **10.** Consider a spherical concave mirror with the object located to the left of the mirror beyond the focal point. Using ray diagrams, show that the image moves to the left as the object approaches the focal point.
- **11.** In Figures CQ36.11a and CQ36.11b, which glasses correct nearsightedness and which correct farsightedness?

Figure CQ36.11 Conceptual Questions 11 and 12.

12. Bethany tries on either her hyperopic grandfather's or her myopic brother's glasses and complains, "Everything looks blurry." Why do the eyes of a person wearing glasses not look blurry? (See Fig. CQ36.11.)

- **13.** In a Jules Verne novel, a piece of ice is shaped to form a magnifying lens, focusing sunlight to start a fire. Is that possible?
- **14.** A solar furnace can be constructed by using a concave mirror to reflect and focus sunlight into a furnace enclosure. What factors in the design of the reflecting mirror would guarantee very high temperatures?
- **15.** Figure CQ36.15 shows a lithograph by M. C. Escher titled *Hand with Reflection Sphere (Self-Portrait in Spherical Mirror).* Escher said about the work: "The picture shows

a spherical mirror, resting on a left hand. But as a print is the reverse of the original drawing on stone, it was my right hand that you see depicted. (Being left-handed, I needed my left hand to make the drawing.) Such a globe reflection collects almost one's whole surroundings in one disk-shaped image. The as a print is the reverse $\frac{1}{2}$ of the original draw-
ing on stone, it was my
right hand that you $\frac{1}{2}$ $\frac{1}{2}$ see depicted. (Being $\frac{1}{2}$ see depicted. (Being $\frac{1}{2}$ see $\frac{1}{2}$ left-handed, I needed

the floor, and the ceiling, everything, albeit distorted, is compressed into that one small circle. Your own head, or more exactly the point between your eyes, is the absolute center. No matter how you turn or twist yourself, you can't get out of that central point. You are immovably the focus, the unshakable core, of your world." Comment on the accuracy of Escher's description.

16. If a cylinder of solid glass or clear plastic is placed above the words LEAD OXIDE and viewed from the side as shown in Figure CQ36.16, the word LEAD appears inverted, but the word OXIDE does not. Explain.

17. Do the equations $1/p + 1/q = 1/f$ and $M = -q/p$ apply to the image formed by a flat mirror? Explain your answer.

Problems

The problems found in this WebAssign chapter may be assigned online in Enhanced WebAssign **1.** straightforward; **2.** intermediate; **3.** challenging **1.** full solution available in the *Student Solutions Manual/Study Guide*

- **AMT** Analysis Model tutorial available in Enhanced WebAssign **GP** Guided Problem **M** Master It tutorial available in Enhanced WebAssign
	- **W** Watch It video solution available in Enhanced WebAssign

Section 36.1 Images Formed by Flat Mirrors

1. Determine the minimum height of a vertical flat mir-AMT ror in which a person 178 cm tall can see his or her full image. *Suggestion:* Drawing a ray diagram would be **M** helpful. **W**

- **2.** In a choir practice room, two parallel walls are 5.30 m AMI apart. The singers stand against the north wall. The organist faces the south wall, sitting 0.800 m away from it. To enable her to see the choir, a flat mirror 0.600 m wide is mounted on the south wall, straight in front of her. What width of the north wall can the organist see? *Suggestion:* Draw a top-view diagram to justify your answer.
	- **3.** (a) Does your bathroom mirror show you older or younger than you actually are? (b) Compute an orderof-magnitude estimate for the age difference based on data you specify.
	- **4.** A person walks into a room that has two flat mirrors on opposite walls. The mirrors produce multiple images of the person. Consider *only* the images formed in the

mirror on the left. When the person is 2.00 m from the mirror on the left wall and 4.00 m from the mirror on the right wall, find the distance from the person to the first three images seen in the mirror on the left wall.

5. A periscope (Fig. P36.5) is useful for viewing objects that cannot be seen directly. It can be used in submarines and when watching golf matches or parades from behind a crowd of people. Suppose the object is a distance p_1 from the upper mirror and the centers

Figure P36.5

of the two flat mirrors are separated by a distance *h.* (a) What is the distance of the final image from the lower mirror? (b) Is the final image real or virtual? (c) Is it upright or inverted? (d) What is its magnification? (e) Does it appear to be left–right reversed?

- **6.** Two flat mirrors have their reflecting surfaces facing each other, with the edge of one mirror in contact with an edge of the other, so that the angle between the mirrors is α . When an object is placed between the mirrors, a number of images are formed. In general, if the angle α is such that $n\alpha = 360^{\circ}$, where *n* is an integer, the number of images formed is $n - 1$. Graphically, find all the image positions for the case $n = 6$ when a point object is between the mirrors (but not on the angle bisector).
- **7.** Two plane mirrors stand facing each other, 3.00 m apart, and a woman stands between them. The woman looks at one of the mirrors from a distance of 1.00 m and holds her left arm out to the side of her body with the palm of her hand facing the closer mirror. (a) What is the apparent position of the closest image of her left hand, measured perpendicularly from the surface of the mirror in front of her? (b) Does it show the palm of her hand or the back of her hand? (c) What is the position of the next closest image? (d) Does it show the palm of her hand or the back of her hand? (e) What is the position of the third closest image? (f) Does it show the palm of her hand or the back of her hand? (g) Which of the images are real and which are virtual?

Section 36.2 Images Formed by Spherical Mirrors

- **8.** An object is placed 50.0 cm from a concave spherical mirror with focal length of magnitude 20.0 cm. (a) Find the location of the image. (b) What is the magnification of the image? (c) Is the image real or virtual? (d) Is the image upright or inverted?
- **9.** A concave spherical mirror has a radius of curvature of magnitude 20.0 cm. (a) Find the location of the image **M** for object distances of **(i)** 40.0 cm, **(ii)** 20.0 cm, and **(iii)** 10.0 cm. For each case, state whether the image is (b) real or virtual and (c) upright or inverted. (d) Find the magnification in each case.
- **10.** An object is placed 20.0 cm from a concave spherical mirror having a focal length of magnitude 40.0 cm. (a) Use graph paper to construct an accurate ray diagram for this situation. (b) From your ray diagram, determine the location of the image. (c) What is the magnification of the image? (d) Check your answers to parts (b) and (c) using the mirror equation.
- **11.** A convex spherical mirror has a radius of curvature of magnitude 40.0 cm. Determine the position of the vir-**M** tual image and the magnification for object distances of (a) 30.0 cm and (b) 60.0 cm. (c) Are the images in parts (a) and (b) upright or inverted?

12. At an intersection of hospital hallways, a convex spherical mirror is mounted high on a wall to help people **M**avoid collisions. The magnitude of the mirror's radius **W** of curvature is 0.550 m. (a) Locate the image of a patient 10.0 m from the mirror. (b) Indicate whether the image is upright or inverted. (c) Determine the magnification of the image.

- **13.** An object of height 2.00 cm is placed 30.0 cm from a convex spherical mirror of focal length of magnitude 10.0 cm. (a) Find the location of the image. (b) Indicate whether the image is upright or inverted. (c) Determine the height of the image.
- **14.** A dentist uses a spherical mirror to examine a tooth. The tooth is 1.00 cm in front of the mirror, and the image is formed 10.0 cm behind the mirror. Determine (a) the mirror's radius of curvature and (b) the magnification of the image.
- **15.** A large hall in a museum has a niche in one wall. On the floor plan, the niche appears as a semicircular indentation of radius 2.50 m. A tourist stands on the centerline of the niche, 2.00 m out from its deepest point, and whispers "Hello." Where is the sound concentrated after reflection from the niche?
- **16.** *Why is the following situation impossible?* At a blind corner in an outdoor shopping mall, a convex mirror is mounted so pedestrians can see around the corner before arriving there and bumping into someone traveling in the perpendicular direction. The installers of the mirror failed to take into account the position of the Sun, and the mirror focuses the Sun's rays on a nearby bush and sets it on fire.
- **17.** To fit a contact lens to a patient's eye, a *keratometer* can be used to measure the curvature of the eye's front surface, the cornea. This instrument places an illuminated object of known size at a known distance *p* from the cornea. The cornea reflects some light from the object, forming an image of the object. The magnification *M* of the image is measured by using a small viewing telescope that allows comparison of the image formed by the cornea with a second calibrated image projected into the field of view by a prism arrangement. Determine the radius of curvature of the cornea for the case $p = 30.0$ cm and $M = 0.013$ 0.
- **18.** A certain Christmas tree ornament is a silver sphere having a diameter of 8.50 cm. (a) If the size of an image created by reflection in the ornament is threefourths the reflected object's actual size, determine the object's location. (b) Use a principal-ray diagram to determine whether the image is upright or inverted.
- **19.** (a) A concave spherical mirror forms an inverted image 4.00 times larger than the object. Assuming the **W** distance between object and image is 0.600 m, find the focal length of the mirror. (b) **What If?** Suppose the mirror is convex. The distance between the image and the object is the same as in part (a), but the image is 0.500 the size of the object. Determine the focal length of the mirror.
- **20.** (a) A concave spherical mirror forms an inverted image different in size from the object by a factor $a >$ 1. The distance between object and image is *d.* Find the focal length of the mirror. (b) **What If?** Suppose the mirror is convex, an upright image is formed, and $a < 1$. Determine the focal length of the mirror.

21. An object 10.0 cm tall is placed at the zero mark of a meterstick. A spherical mirror located at some point **W** on the meterstick creates an image of the object that is upright, 4.00 cm tall, and located at the 42.0-cm mark of the meterstick. (a) Is the mirror convex or concave? (b) Where is the mirror? (c) What is the mirror's focal length?

22. A concave spherical mirror has a radius of curvature of magnitude 24.0 cm. (a) Determine the object position for which the resulting image is upright and larger than the object by a factor of 3.00. (b) Draw a ray diagram to determine the position of the image. (c) Is the image real or virtual?

23. A dedicated sports car enthusiast polishes the inside

- and outside surfaces of a hubcap that is a thin section **W** of a sphere. When she looks into one side of the hubcap, she sees an image of her face 30.0 cm in back of the hubcap. She then flips the hubcap over and sees another image of her face 10.0 cm in back of the hubcap. (a) How far is her face from the hubcap? (b) What is the radius of curvature of the hubcap?
- **24.** A convex spherical mirror has a focal length of magnitude 8.00 cm. (a) What is the location of an object for which the magnitude of the image distance is onethird the magnitude of the object distance? (b) Find the magnification of the image and (c) state whether it is upright or inverted.
- **25.** A spherical mirror is to be used to form an image 5.00 times the size of an object on a screen located 5.00 m **M** from the object. (a) Is the mirror required concave or convex? (b) What is the required radius of curvature of the mirror? (c) Where should the mirror be positioned relative to the object?
- **26. Review.** A ball is dropped at $t = 0$ from rest 3.00 m AMT directly above the vertex of a concave spherical mirror that has a radius of curvature of magnitude 1.00 m and lies in a horizontal plane. (a) Describe the motion of the ball's image in the mirror. (b) At what instant or instants do the ball and its image coincide?
- **27.** You unconsciously estimate the distance to an object from the angle it subtends in your field of view. This angle θ in radians is related to the linear height of the object *h* and to the distance *d* by $\theta = h/d$. Assume you are driving a car and another car, 1.50 m high, is 24.0 m behind you. (a) Suppose your car has a flat passenger-side rearview mirror, 1.55 m from your eyes. How far from your eyes is the image of the car following you? (b) What angle does the image subtend in your field of view? (c) **What If?** Now suppose your car has a convex rearview mirror with a radius of curvature of magnitude 2.00 m (as suggested in Fig. 36.15). How far from your eyes is the image of the car behind you? (d) What angle does the image subtend at your eyes? (e) Based on its angular size, how far away does the following car appear to be?
- **28.** A man standing 1.52 m in front of a shaving mirror produces an inverted image 18.0 cm in front of it. How close **M**to the mirror should he stand if he wants to form an upright image of his chin that is twice the chin's actual size?

Section 36.3 Images Formed by Refraction

- **29.** One end of a long glass rod $(n = 1.50)$ is formed into a convex surface with a radius of curvature of magnitude 6.00 cm. An object is located in air along the axis of the rod. Find the image positions corresponding to object distances of (a) 20.0 cm, (b) 10.0 cm, and (c) 3.00 cm from the convex end of the rod.
- **30.** A cubical block of ice 50.0 cm on a side is placed over a speck of dust on a level floor. Find the location of the image of the speck as viewed from above. The index of refraction of ice is 1.309.
- **31.** The top of a swimming pool is at ground level. If the pool is 2.00 m deep, how far below ground level does the bottom of the pool appear to be located when (a) the pool is completely filled with water? (b) When it is filled halfway with water?
- **32.** The magnification of the image formed by a refracting surface is given by

$$
M = -\frac{n_1 q}{n_2 p}
$$

where n_1 , n_2 , p , and q are defined as they are for Figure 36.17 and Equation 36.8. A paperweight is made of a solid glass hemisphere with index of refraction 1.50. The radius of the circular cross section is 4.00 cm. The hemisphere is placed on its flat surface, with the center directly over a 2.50-mm-long line drawn on a sheet of paper. What is the length of this line as seen by someone looking vertically down on the hemisphere?

- **33.** A flint glass plate rests on the bottom of an aquarium tank. The plate is 8.00 cm thick (vertical dimension) and is covered with a layer of water 12.0 cm deep. Calculate the apparent thickness of the plate as viewed from straight above the water.
- **34.** Figure P36.34 shows a curved surface separating a material with index of refraction n_1 from a material with index n_2 . The surface forms an image *I* of object *O.* The ray shown in red passes through the surface along a radial line. Its angles of incidence and refraction are both zero, so its direction does not change at the surface. For the ray shown in blue, the direction changes according to Snell's law, $n_1 \sin \theta_1 = n_2 \sin \theta_2$. For paraxial rays, we assume θ_1 and θ_2 are small, so we may write n_1 tan $\theta_1 = n_2$ tan θ_2 . The magnification is defined as $\dot{M} = h/h$. Prove that the magnification is given by $M = -n_1 q/n_2 p$.

Figure P36.34

35. A glass sphere $(n = 1.50)$ with a radius of 15.0 cm has a M tiny air bubble 5.00 cm above its center. The sphere is viewed looking down along the extended radius containing the bubble. What is the apparent depth of the bubble below the surface of the sphere?

36. As shown in Figure P36.36, Ben and Jacob check out an aquarium that has a curved front made of plastic with uniform thickness and a radius of curvature of magnitude $R = 2.25$ m. (a) Locate the images of fish that are located (i) 5.00 cm and (ii) 25.0 cm from the front wall of the aquarium. (b) Find the magnification of images (i) and (ii) from the previous part. (See Problem 32 to find an expression for the magnification of an image formed by a refracting surface.) (c) Explain why you don't need to know the refractive index of the plastic to solve this problem. (d) If this aquarium were very long from front to back, could the image of a fish ever be farther from the front surface than the fish itself is? (e) If not, explain why not. If so, give an example and find the magnification.

Figure P36.36

37. A goldfish is swimming at 2.00 cm/s toward the front wall of a rectangular aquarium. What is the apparent **W** speed of the fish measured by an observer looking in from outside the front wall of the tank?

Section 36.4 Images Formed by Thin Lenses

- **38.** A thin lens has a focal length of 25.0 cm. Locate and describe the image when the object is placed (a) 26.0 cm and (b) 24.0 cm in front of the lens.
- **39.** An object located 32.0 cm in front of a lens forms an image on a screen 8.00 cm behind the lens. (a) Find the focal length of the lens. (b) Determine the magnification. (c) Is the lens converging or diverging?
- **40.** An object is located 20.0 cm to the left of a diverging **M** lens having a focal length $f = -32.0$ cm. Determine (a) the location and (b) the magnification of the image. (c) Construct a ray diagram for this arrangement.
- **41.** The projection lens in a certain slide projector is a sin-
- gle thin lens. A slide 24.0 mm high is to be projected **W**so that its image fills a screen 1.80 m high. The slideto-screen distance is 3.00 m. (a) Determine the focal length of the projection lens. (b) How far from the slide should the lens of the projector be placed so as to form the image on the screen?
- **42.** An object's distance from a converging lens is 5.00 times the focal length. (a) Determine the location of the image. Express the answer as a fraction of the focal

length. (b) Find the magnification of the image and indicate whether it is (c) upright or inverted and (d) real or virtual.

- **43.** A contact lens is made of plastic with an index of refraction of 1.50. The lens has an outer radius of cur-**W** vature of $+2.00$ cm and an inner radius of curvature of +2.50 cm. What is the focal length of the lens?
- **44.** A converging lens has a focal length of 10.0 cm. Construct accurate ray diagrams for object distances of **(i)** 20.0 cm and **(ii)** 5.00 cm. (a) From your ray diagrams, determine the location of each image. (b) Is the image real or virtual? (c) Is the image upright or inverted? (d) What is the magnification of the image? (e) Compare your results with the values found algebraically. (f) Comment on difficulties in constructing the graph that could lead to differences between the graphical and algebraic answers.
- **45.** A converging lens has a focal length of 10.0 cm. Locate the object if a real image is located at a distance from the lens of (a) 20.0 cm and (b) 50.0 cm. **What If?** Redo the calculations if the images are virtual and located at a distance from the lens of (c) 20.0 cm and (d) 50.0 cm.
- **46.** A diverging lens has a focal length of magnitude 20.0 cm. (a) Locate the image for object distances of **(i)** 40.0 cm, **(ii)** 20.0 cm, and **(iii)** 10.0 cm. For each case, state whether the image is (b) real or virtual and (c) upright or inverted.(d) For each case, find the magnification.
- **47.** The nickel's image in Figure P36.47 has twice the diameter of the nickel and is 2.84 cm from the lens. Determine the focal length of the lens.
- **48.** Suppose an object has thickness *dp* so that it extends from object distance *p* to $p + dp$. (a) Prove that

Figure P36.47

the thickness *dq* of its image is given by $(-q^2/p^2)dp$. (b) The longitudinal magnification of the object is $M_{\text{long}} = dq/dp$. How is the longitudinal magnification related to the lateral magnification *M*?

- **49.** The left face of a biconvex lens has a radius of curvature of magnitude 12.0 cm, and the right face has a radius of curvature of magnitude 18.0 cm. The index of refraction of the glass is 1.44. (a) Calculate the focal length of the lens for light incident from the left. (b) **What If?** After the lens is turned around to interchange the radii of curvature of the two faces, calculate the focal length of the lens for light incident from the left.
- **50.** In Figure P36.50, a thin converging lens of focal length 14.0 cm forms an image of the square *abcd*, which is $h_c = h_b = 10.0$ cm high and lies between distances of p_d = 20.0 cm and p_a = 30.0 cm from the lens. Let *a'*, *b'*, c' , and d' represent the respective corners of the image. Let q_a represent the image distance for points a' and b' , q_d represent the image distance for points c' and d' ,

 h'_b represent the distance from point b' to the axis, and h'_c represent the height of c' . (a) Find q_a , q_d , h'_b , and h'_c . (b) Make a sketch of the image. (c) The area of the object is 100 cm^2 . By carrying out the following steps, you will evaluate

Figure P36.50

the area of the image. Let *q* represent the image distance of any point between a' and d' , for which the object distance is p . Let h' represent the distance from the axis to the point at the edge of the image between b' and c' at image distance q . Demonstrate that

$$
|h'| = 10.0q \bigg(\frac{1}{14.0} - \frac{1}{q}\bigg)
$$

where h' and q are in centimeters. (d) Explain why the geometric area of the image is given by

$$
\int_{q_a}^{q_d} |h'| dq
$$

(e) Carry out the integration to find the area of the image.

- **51.** An antelope is at a distance of 20.0 m from a converging lens of focal length 30.0 cm. The lens forms **W** an image of the animal. (a) If the antelope runs away from the lens at a speed of 5.00 m/s, how fast does the image move? (b) Does the image move toward or away from the lens?
- **52.** *Why is the following situation impossible?* An illuminated object is placed a distance $d = 2.00$ m from a screen. By placing a converging lens of focal length $f =$ 60.0 cm at two locations between the object and the screen, a sharp, real image of the object can be formed on the screen. In one location of the lens, the image is larger than the object, and in the other, the image is smaller.
- **53.** A 1.00-cm-high object is placed 4.00 cm to the left of a converging lens of focal length 8.00 cm. A diverging **M** lens of focal length -16.00 cm is 6.00 cm to the right of the converging lens. Find the position and height of the final image. Is the image inverted or upright? Real or virtual?

Section 36.5 Lens Aberrations

- **54.** The magnitudes of the radii of curvature are 32.5 cm and 42.5 cm for the two faces of a biconcave lens. The glass has index of refraction 1.53 for violet light and 1.51 for red light. For a very distant object, locate (a) the image formed by violet light and (b) the image formed by red light.
- **55.** Two rays traveling parallel to the principal axis strike a large plano-convex lens having a refractive index of 1.60 (Fig. P36.55). If the convex face is spherical, a ray near the edge does not pass through the focal point (spherical aberration occurs). Assume this face has a radius of curvature of $R = 20.0$ cm and the two rays are at distances $h_1 = 0.500$ cm and $h_2 = 12.0$ cm from the

principal axis. Find the difference Δx in the positions where each crosses the principal axis.

Figure P36.55

Section 36.6 The Camera

- **56.** A camera is being used with a correct exposure at *f*/4 and a shutter speed of $\frac{1}{15}$ s. In addition to the *f*-numbers listed in Section 36.6, this camera has *f*-numbers *f*/1, *f*/1.4, and *f*/2. To photograph a rapidly moving subject, the shutter speed is changed to $\frac{1}{125}$ s. Find the new *f*-number setting needed on this camera to maintain satisfactory exposure.
- **57.** Figure 36.33 diagrams a cross section of a camera. It has a single lens of focal length 65.0 mm, which is to form an image on the CCD at the back of the camera. Suppose the position of the lens has been adjusted to focus the image of a distant object. How far and in what direction must the lens be moved to form a sharp image of an object that is 2.00 m away?

Section 36.7 The Eye

- **58.** A nearsighted person cannot see objects clearly beyond 25.0 cm (her far point). If she has no astigmatism and contact lenses are prescribed for her, what (a) power and (b) type of lens are required to correct her vision?
- **59.** The near point of a person's eye is 60.0 cm. To see objects clearly at a distance of 25.0 cm, what should be the (a) focal length and (b) power of the appropriate corrective lens? (Neglect the distance from the lens to the eye.)
- **60.** A person sees clearly wearing eyeglasses that have a power of −4.00 diopters when the lenses are 2.00 cm in front of the eyes. (a) What is the focal length of the lens? (b) Is the person nearsighted or farsighted? (c) If the person wants to switch to contact lenses placed directly on the eyes, what lens power should be prescribed?

61. The accommodation limits for a nearsighted person's eyes are 18.0 cm and 80.0 cm. When he wears his **M** glasses, he can see faraway objects clearly. At what min-**W** imum distance is he able to see objects clearly?

- **62.** A certain child's near point is 10.0 cm; her far point (with eyes relaxed) is 125 cm. Each eye lens is 2.00 cm from the retina. (a) Between what limits, measured in diopters, does the power of this lens–cornea combination vary? (b) Calculate the power of the eyeglass lens the child should use for relaxed distance vision. Is the lens converging or diverging?
- **63.** A person is to be fitted with bifocals. She can see clearly when the object is between 30 cm and 1.5 m

from the eye. (a) The upper portions of
the bifocals (Fig. the bifocals P36.63) should be designed to enable her to see distant objects clearly. What power should they have? (b) The lower portions of the bifocals should enable

her to see objects located 25 cm in front of the eye. What power should they have?

- **64.** A simple model of the human eye ignores its lens entirely. Most of what the eye does to light happens at the outer surface of the transparent cornea. Assume that this surface has a radius of curvature of 6.00 mm and that the eyeball contains just one fluid with a refractive index of 1.40. Prove that a very distant object will be imaged on the retina, 21.0 mm behind the cornea. Describe the image.
- **65.** A patient has a near point of 45.0 cm and far point of 85.0 cm. (a) Can a single pair of glasses correct the patient's vision? Explain the patient's options. (b) Calculate the power lens needed to correct the near point so that the patient can see objects 25.0 cm away. Neglect the eye–lens distance. (c) Calculate the power lens needed to correct the patient's far point, again neglecting the eye–lens distance.

Section 36.8 The Simple Magnifier

66. A lens that has a focal length of 5.00 cm is used as a magnifying glass. (a) To obtain maximum magnification and an image that can be seen clearly by a normal eye, where should the object be placed? (b) What is the magnification?

Section 36.9 The Compound Microscope

67. The distance between the eyepiece and the objective lens in a certain compound microscope is 23.0 cm. The focal length of the eyepiece is 2.50 cm and that of the objective is 0.400 cm. What is the overall magnification of the microscope?

Section 36.10 The Telescope

- **68.** The refracting telescope at the Yerkes Observatory has a 1.00-m diameter objective lens of focal length 20.0 m. **M** Assume it is used with an eyepiece of focal length 2.50 cm. (a) Determine the magnification of Mars as seen through this telescope. (b) Are the Martian polar caps right side up or upside down?
- **69.** A certain telescope has an objective mirror with an aperture diameter of 200 mm and a focal length of 2 000 mm. It captures the image of a nebula on photographic film at its prime focus with an exposure time of 1.50 min. To produce the same light energy per unit area on the film, what is the required exposure time to photograph the same nebula with a smaller telescope that has an objective with a 60.0-mm diameter and a 900-mm focal length?

70. Astronomers often take photographs with the objective lens or mirror of a telescope alone, without an eyepiece. (a) Show that the image size h' for such a telescope is given by $h' = fh/(f - p)$, where *f* is the objective focal length, *h* is the object size, and *p* is the object distance. (b) **What If?** Simplify the expression in part (a) for the case in which the object distance is much greater than objective focal length. (c) The "wingspan" of the International Space Station is 108.6 m, the overall width of its solar panel configuration. When the station is orbiting at an altitude of 407 km, find the width of the image formed by a telescope objective of focal length 4.00 m.

Additional Problems

- **71.** The lens-makers' equation applies to a lens immersed in a liquid if *n* in the equation is replaced by n_2/n_1 . Here n_2 refers to the index of refraction of the lens material and n_1 is that of the medium surrounding the lens. (a) A certain lens has focal length 79.0 cm in air and index of refraction 1.55. Find its focal length in water. (b) A certain mirror has focal length 79.0 cm in air. Find its focal length in water.
- **72.** A real object is located at the zero end of a meterstick. A large concave spherical mirror at the 100-cm end of the meterstick forms an image of the object at the 70.0-cm position. A small convex spherical mirror placed at the 20.0-cm position forms a final image at the 10.0-cm point. What is the radius of curvature of the convex mirror?
- **73.** The distance between an object and its upright image is 20.0 cm. If the magnification is 0.500, what is the focal length of the lens being used to form the image?
- **74.** The distance between an object and its upright image is *d.* If the magnification is *M*, what is the focal length of the lens being used to form the image?
- **75.** A person decides to use an old pair of eyeglasses to make some optical instruments. He knows that the near point in his left eye is 50.0 cm and the near point in his right eye is 100 cm. (a) What is the maximum angular magnification he can produce in a telescope? (b) If he places the lenses 10.0 cm apart, what is the maximum overall magnification he can produce in a microscope? *Hint:* Go back to basics and use the thin lens equation to solve part (b). **M**
- **76.** You are designing an endoscope for use inside an airfilled body cavity. A lens at the end of the endoscope will form an image covering the end of a bundle of optical fibers. This image will then be carried by the optical fibers to an eyepiece lens at the outside end of the fiberscope. The radius of the bundle is 1.00 mm. The scene within the body that is to appear within the image fills a circle of radius 6.00 cm. The lens will be located 5.00 cm from the tissues you wish to observe. (a) How far should the lens be located from the end of an optical fiber bundle? (b) What is the focal length of the lens required?
- **77.** The lens and mirror in Figure P36.77 are separated by $d = 1.00$ m and have focal lengths of $+80.0$ cm and

 -50.0 cm, respectively. An object is placed $p = 1.00$ m to the left of the lens as shown. (a) Locate the final image, formed by light that has gone through the lens twice. (b) Determine the overall magnification of the image and (c) state whether the image is upright or inverted.

78. Two converging lenses having focal lengths of f_1 = 10.0 cm and f_2 = 20.0 cm are placed a distance $d =$ 50.0 cm apart as shown in Figure P36.78. The image due to light passing through both lenses is to be located between the lenses at the position $x = 31.0$ cm indicated. (a) At what value of *p* should the object be positioned to the left of the first lens? (b) What is the magnification of the final image? (c) Is the final image upright or inverted? (d) Is the final image real or virtual?

79. Figure P36.79 shows a piece of glass with index of refraction $n = 1.50$ surrounded by air. The ends are hemispheres with radii $R_1 = 2.00$ cm and $R_2 =$ 4.00 cm, and the centers of the hemispherical ends are

separated by a distance of $d =$ 8.00 cm. A point object is in air, a distance $p = 1.00$ cm from the left end of the glass. (a) Locate the image of the object due to refraction at the two spherical surfaces. (b) Is the final image real or virtual?

Figure P36.79

- **80.** An object is originally at the $x_i = 0$ cm position of a meterstick located on the *x* axis. A converging lens of focal length 26.0 cm is fixed at the position 32.0 cm. Then we gradually slide the object to the position x_f = 12.0 cm. (a) Find the location x' of the object's image as a function of the object position *x.* (b) Describe the pattern of the image's motion with reference to a graph or a table of values. (c) As the object moves 12.0 cm to the right, how far does the image move? (d) In what direction or directions?
- **81.** The object in Figure P36.81 is midway between the lens and the mirror, which are separated by a distance

 $d = 25.0$ cm. The magnitude of the mirror's radius of curvature is 20.0 cm, and the lens has a focal length of -16.7 cm. (a) Considering only the light that leaves the object and travels first toward the mirror, locate the final image formed by this

system. (b) Is this image real or virtual? (c) Is it upright or inverted? (d) What is the overall magnification?

- **82.** In many applications, it is necessary to expand or decrease the diameter of a beam of parallel rays of light, which can be accomplished by using a converging lens and a diverging lens in combination. Suppose you have a converging lens of focal length 21.0 cm and a diverging lens of focal length -12.0 cm. (a) How can you arrange these lenses to increase the diameter of a beam of parallel rays? (b) By what factor will the diameter increase?
- **83. Review.** A spherical lightbulb of diameter 3.20 cm radiates light equally in all directions, with power 4.50 W. (a) Find the light intensity at the surface of the lightbulb. (b) Find the light intensity 7.20 m away from the center of the lightbulb. (c) At this 7.20-m distance, a lens is set up with its axis pointing toward the lightbulb. The lens has a circular face with a diameter of 15.0 cm and has a focal length of 35.0 cm. Find the diameter of the lightbulb's image. (d) Find the light intensity at the image.
- **84.** A parallel beam of light enters a glass hemisphere perpendicular to the flat face as shown in Figure P36.84. The magnitude of the radius of the hemisphere is $R = 6.00$ cm, and its index of refraction is $n = 1.560$. Assuming paraxial rays, determine the point at which the beam is focused.

Figure P36.84

85. Two lenses made of kinds of glass having different indices of refraction n_1 and n_2 are cemented together to form an *optical doublet.* Optical doublets are often used to correct chromatic aberrations in optical devices. The first lens of a certain doublet has index of refraction n_1 , one flat side, and one concave side with a radius of curvature of magnitude *R.* The second lens has index of refraction n_2 and two convex sides with radii of curvature also of magnitude *R.* Show that the doublet can be modeled as a single thin lens with a focal length described by

$$
\frac{1}{f} = \frac{2n_2 - n_1 - 1}{R}
$$

86. *Why is the following situation impossible?* Consider the lens–mirror combination shown in Figure P36.86 on page 1132. The lens has a focal length of $f_L = 0.200$ m, and the mirror has a focal length of $f_M = 0.500$ m. The lens and mirror are placed a distance $d = 1.30$ m apart, and an object is placed at $p =$ 0.300 m from the lens. By moving a screen to various positions to the left of the lens, a student finds two different positions of the screen that produce a sharp image of the object. One of these positions corresponds to

Figure P36.86 Problems 86 and 97.

light leaving the object and traveling to the left through the lens. The other position corresponds to light traveling to the right from the object, reflecting from the mirror and then passing through the lens.

- **87.** An object is placed 12.0 cm to the left of a diverging M lens of focal length -6.00 cm. A converging lens of focal length 12.0 cm is placed a distance *d* to the right of the diverging lens. Find the distance *d* so that the final image is infinitely far away to the right.
- **88.** An object is placed a distance *p* to the left of a diverging lens of focal length f_1 . A converging lens of focal length f_2 is placed a distance d to the right of the diverging lens. Find the distance *d* so that the final image is infinitely far away to the right.
- **89.** An observer to the right of the mirror–lens combination shown in Figure P36.89 (not to scale) sees two real images that are the same size and in the same location. One image is upright, and the other is inverted. Both images are 1.50 times larger than the object. The lens has a focal length of 10.0 cm. The lens and mirror are separated by 40.0 cm. Determine the focal length of the mirror.

90. In a darkened room, a burning candle is placed 1.50 m from a white wall. A lens is placed between the candle **GP** and the wall at a location that causes a larger, inverted **M** image to form on the wall. When the lens is in this position, the object distance is p_1 . When the lens is moved 90.0 cm toward the wall, another image of the candle is formed on the wall. From this information, we wish to find p_1 and the focal length of the lens. (a) From the lens equation for the first position of the lens, write an equation relating the focal length *f* of the lens to the object distance p_1 , with no other variables in the equation. (b) From the lens equation for the second position of the lens, write another equation relat-

ing the focal length *f* of the lens to the object distance $p₁$. (c) Solve the equations in parts (a) and (b) simultaneously to find p_1 . (d) Use the value in part (c) to find the focal length *f* of the lens.

- **91.** The disk of the Sun subtends an angle of 0.533° at the Earth. What are (a) the position and (b) the diameter of the solar image formed by a concave spherical mirror with a radius of curvature of magnitude 3.00 m?
- **92.** An object 2.00 cm high is placed 40.0 cm to the left of a converging lens having a focal length of 30.0 cm. A diverging lens with a focal length of -20.0 cm is placed 110 cm to the right of the converging lens. Determine (a) the position and (b) the magnification of the final image. (c) Is the image upright or inverted? (d) **What If?** Repeat parts (a) through (c) for the case in which the second lens is a converging lens having a focal length of 20.0 cm.

Challenge Problems

- **93.** Assume the intensity of sunlight is 1.00 kW/m² at a particular location. A highly reflecting concave mirror is to be pointed toward the Sun to produce a power of at least 350 W at the image point. (a) Assuming the disk of the Sun subtends an angle of 0.533° at the Earth, find the required radius R_a of the circular face area of the mirror. (b) Now suppose the light intensity is to be at least 120 kW/m^2 at the image. Find the required relationship between R_a and the radius of curvature R_a of the mirror.
- **94.** A *zoom lens* system is a combination of lenses that produces a variable magnification of a fixed object as it maintains a fixed image position. The magnification is varied by moving one or more lenses along the axis. Multiple lenses are used in practice, but the effect of zooming in on an object can be demonstrated with a simple two-lens system. An object, two converging lenses, and a screen are mounted on an optical bench. Lens 1, which is to the right of the object, has a focal length of $f_1 = 5.00$ cm, and lens 2, which is to the right of the first lens, has a focal length of $f_2 = 10.0$ cm. The screen is to the right of lens 2. Initially, an object is situated at a distance of 7.50 cm to the left of lens 1, and the image formed on the screen has a magnification of $+1.00$. (a) Find the distance between the object and the screen. (b) Both lenses are now moved along their common axis while the object and the screen maintain fixed positions until the image formed on the screen has a magnification of $+3.00$. Find the displacement of each lens from its initial position in part (a). (c) Can the lenses be displaced in more than one way?
- **95.** Figure P36.95 shows a thin converging lens for which the radii of curvature of its surfaces have magnitudes of 9.00 cm and 11.0 cm. The lens is in front of a concave spherical mirror with the radius of curvature $R =$ 8.00 cm. Assume the focal points F_1 and F_2 of the lens are 5.00 cm from the center of the lens. (a) Determine the index of refraction of the lens material. The lens and mirror are 20.0 cm apart, and an object is placed

8.00 cm to the left of the lens. Determine (b) the posi tion of the final image and (c) its magnification as seen by the eye in the figure. (d) Is the final image inverted or upright? Explain.

96. A floating strawberry illusion is achieved with two par abolic mirrors, each having a focal length 7.50 cm, facing each other as shown in Figure P36.96. If a strawberry is placed on the lower mirror, an image of the strawberry is formed at the small opening at the center of the top mirror, 7.50 cm above the lowest point of the bottom mirror. The position of the eye in Figure P36.96a corresponds to the view of the apparatus in Figure P36.96b. Consider the light path marked Notice that this light path is blocked by the upper mir ror so that the strawberry itself is not directly observ able. The light path marked corresponds to the eye viewing the image of the strawberry that is formed at the opening at the top of the apparatus. (a) Show that the final image is formed at that location and describe its characteristics. (b) A very startling effect is to shine a flashlight beam on this image. Even at a glancing angle, the incoming light beam is seemingly reflected from the image! Explain.

97. Consider the lens–mirror arrangement shown in Fig ure P36.86. There are two final image positions to the left of the lens of focal length . One image position is due to light traveling from the object to the left and passing through the lens. The other image position is due to light traveling to the right from the object, reflecting from the mirror of focal length and then passing through the lens. For a given object position between the lens and the mirror and measured with respect to the lens, there are two separation distances between the lens and mirror that will cause the two images described above to be at the same location. Find both positions.